

Independent Investment Research Focused on Public Company Interactions with the SEC.

Nothing Found

No Recent SEC Investigative Activity Found

As of the dates shown in the table below, we received Freedom of Information Act (FOIA) responses from the SEC to suggest the absence of recent SEC investigative activity. If you think any of these companies have SEC investigations, or had them in the recent past, let us know and we'll look into it. Data presented are only current as of the Date of Response.

109 Companies in Today's Report

Company Name	Ticker	Date of Response
Altria Group, Inc.	MO	18-Dec-2024
American States Water Company	AWR	27-Dec-2024
BCE, Inc.	BCE	6-Jan-2025
Beacon Roofing Supply, Inc.	BECN	27-Dec-2024
Buckle Inc.	BKE	10-Jan-2025
California Water Service Group	CWT	18-Dec-2024
Carlisle Companies Incorporated	CSL	10-Jan-2025
Carmax, Inc.	KMX	26-Dec-2024
Cato Corporation, The	CATO	23-Dec-2024
CAVA Group, Inc.	CAVA	2-Jan-2025
CBL & Associates Properties Inc.	CBL	30-Dec-2024
CenterPoint Energy, Inc.	CNP	10-Jan-2025
Cheniere Energy, Inc.	LNG	6-Jan-2025
Chubb Ltd	CB	7-Jan-2025
Cirrus Logic, Inc.	CRUS	10-Jan-2025
Clearwater Paper Corp.	CLW	10-Jan-2025
CME Group, Inc.	CME	10-Jan-2025
CNA Financial Corporation	CNA	23-Dec-2024
CNO Financial Group, Inc.	CNO	23-Dec-2024
Coca-Cola FEMSA S.A.B de C.V.	KOF	27-Dec-2024
Comtech Telecommunications Corp.	CMTL	27-Dec-2024
Corvel Corporation	CRVL	27-Dec-2024
Crane NXT, Co.	CXT	2-Jan-2025
Crown Castle, Inc	CCI	23-Dec-2024
Cushman & Wakefield PLC.	CWK	23-Dec-2024
Dayforce, Inc.	DAY	2-Jan-2025
Designer Brands, Inc.	DBI	7-Jan-2025
DexCom, Inc.	DXCM	10-Jan-2025
Dynavax Technologies Corp.	DVAX	23-Dec-2024

Eastman Chemical Co.	EMN	2-Jan-2025
Embecta Corp	EMBC	23-Dec-2024
Federal Realty Investment Trust	FRT	7-Jan-2024
FibroGen Inc.	FGEN	7-Jan-2025
Financial Institutions, Inc.	FISI	10-Jan-2025
First Citizens Bancshares, Inc.	FCNCA	19-Dec-2024
First Foundation, Inc.	FFWM	6-Jan-2025
FirstCash Holdings, Inc.	FCFS	27-Dec-2024
Fortune Brands Innovations, Inc.	FBIN	2-Jan-2025
Franklin Resources, Inc.	BEN	31-Dec-2024
Globus Medical Inc.	GMED	7-Jan-2025
GoPro, Inc.	GPRO	23-Dec-2024
Graco, Inc.	GGG	18-Dec-2024
Grand Canyon Education Inc.	LOPE	2-Jan-2025
Hanover Insurance Group, Inc.	THG	18-Dec-2024
HEICO Corp.	HEI	19-Dec-2024
Heritage Commerce Corp.	HTBK	7-Jan-2025
Heritage Financial Corp.	HFWA	31-Dec-2024
Hexcel Corp.	HXL	7-Jan-2025
Huntington Bancshares, Inc.	HBAN	7-Jan-2025
Huntington Ingalls Industries, Inc.	HII	7-Jan-2025
Icahn Enterprises, L.P.	IEP	2-Jan-2025
ICON PLC.	ICLR	10-Jan-2025
ICU Medical, Inc.	ICUI	27-Dec-2024
Idexx Laboratories, Inc.	IDXX	27-Dec-2024
Informatica Inc.	INFA	18-Dec-2024
Inogen Inc.	INGN	19-Dec-2024
Insulet Corporation	PODD	30-Dec-2024
Ionis Pharmaceuticals, Inc.	IONS	27-Dec-2024
IPG Photonics Corporation	IPGP	23-Dec-2024
Iron Mountain, Inc.	IRM	23-Dec-2024
Jefferies Financial Group, Inc.	JEF	10-Jan-2025
Kellanova	K	2-Jan-2025
Kilroy Realty Corporation	KRC	26-Dec-2024
Kodiak Sciences, Inc.	KOD	7-Jan-2025
Kohl's Corp.	KSS	2-Jan-2025
Liberty Latin America Ltd.	LILA	7-Jan-2025
LiveRamp Holdings, Inc.	RAMP	3-Jan-2025
Louisiana-Pacific Corp.	LPX	7-Jan-2024
LPL Financial Holdings, Inc.	LPLA	8-Jan-2025
LyondellBasell Industries NV	LYB	2-Jan-2025
Manhattan Associates Inc.	MANH	31-Dec-2024
MarketAxess Holdings, Inc.	MKTX	7-Jan-2025
Marvell Technology Inc.	MRVL	23-Dec-2024
Mercadolibre, Inc.	MELI	31-Dec-2024
Mohawk Industries, Inc.	MHK	19-Dec-2024

Mueller Water Products, Inc.	MWA	6-Jan-2025
Nacco Industries, Inc.	NC	6-Jan-2025
Nexpoint Residential Trust, Inc.	NXRT	8-Jan-2025
Nextcure, Inc.	NXTC	8-Jan-2025
NorthWestern Energy Group Inc.	NWE	6-Jan-2025
Nu Holdings Ltd	NU	3-Jan-2025
Orthopediatrics Corp.	KIDS	10-Jan-2025
Owens & Minor, Inc.	OMI	7-Jan-2025
Petco Health & Wellness Company, Inc.	WOOF	7-Jan-2025
PetMed Express, Inc.	PETS	23-Dec-2024
Phathom Pharmaceuticals, Inc.	PHAT	8-Jan-2025
PriceSmart Inc.	PSMT	8-Jan-2025
PTC Inc.	PTC	20-Dec-2024
Regency Centers Corporation	REG	26-Dec-2024
RPM International Inc.	RPM	8-Jan-2025
Ryder System, Inc.	R	18-Dec-2024
Sabine Royalty Trust	SBR	27-Dec-2024
Servisfirst Bancshares, Inc.	SFBS	31-Dec-2024
South State Corp.	SSB	7-Jan-2025
Stratasys Ltd.	SSYS	7-Jan-2025
SurModics, Inc.	SRDX	31-Dec-2024
Take-Two Interactive Software Inc.	TTWO	30-Dec-2024
Tapestry, Inc.	TPR	7-Jan-2025
Teekay Corp Ltd.	TK	23-Dec-2024
Tennant Company	TNC	30-Dec-2024
TJX Companies Inc.	TJX	30-Dec-2024
Toll Brothers Inc.	TOL	7-Jan-2025
Tri Pointe Homes Inc.	TPH	30-Dec-2024
Under Armour, Inc.	UA	8-Jan-2025
Union Pacific Corporation	UNP	7-Jan-2025
Valero Energy Corporation	VLO	7-Jan-2025
Valvoline, Inc.	VVV	10-Jan-2025
VF Corporation	VFC	26-Dec-2024
WD-40 Company	WDFC	10-Jan-2025

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