

# **SEC Investigation Updates** January 07, 2025

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**Independent Investment Research Focused on Public Company Interactions with the SEC.** 

**Nothing Found** 

#### No Recent SEC Investigative Activity Found

As of the dates shown in the table below, we received Freedom of Information Act (FOIA) responses from the SEC to suggest the absence of recent SEC investigative activity. If you think any of these companies have SEC investigations, or had them in the recent past, let us know and we'll look into it. Data presented are only current as of the Date of Response.

### 79 Companies in Today's Report

Company Name	Ticker	Date of Response
Allstate Corp., The	ALL	2-Dec-2024
Amcor PLC.	AMCR	2-Dec-2024
American Vanguard Corporation	AVD	2-Dec-2024
Ames National Corporation	ATLO	2-Dec-2024
Amicus Therapeutics Inc.	FOLD	16-Dec-2024
Amphenol Corporation	APH	9-Dec-2024
Antero Midstream Corp.	AM	17-Dec-2024
Belden, Inc.	BDC	2-Dec-2024
Brinker International Inc.	EAT	5-Dec-2024
Capital Southwest Corporation	CSWC	3-Dec-2024
City Holding Co.	CHCO	3-Dec-2024
Clorox Company, The	CLX	10-Dec-2024
Copa Holdings SA	CPA	5-Dec-2024
Corcept Therapeutics Inc.	CORT	16-Dec-2024
Cousins Properties Incorporated	CUZ	2-Dec-2024
Davita Inc.	DVA	17-Dec-2024
Dine Brands Global Inc.	DIN	4-Dec-2024
DTE Energy Co.	DTE	4-Dec-2024
Dycom Industries, Inc.	DY	4-Dec-2024
Etsy Inc.	ETSY	3-Dec-2024
Eversource Energy, Inc.	ES	4-Dec-2024
FTI Consulting, Inc.	FCN	5-Dec-2024
Genius Sports Limited	GENI	16-Dec-2024
Halozyme Therapeutics Inc.	HALO	5-Dec-2024
Hartford Financial Services Group, Inc., The	HIG	13-Dec-2024
Hilton Worldwide Holdings, Inc.	HLT	12-Dec-2024
Home Depot Inc., The	HD	16-Dec-2024
Hubbell Inc.	HUBB	9-Dec-2024
Hyatt Hotels Corporation	Н	4-Dec-2024



i3 Verticals, Inc.	IIIV	9-Dec-2024
Ingredion, Inc.	INGR	4-Dec-2024
Insight Enterprises, Inc.	NSIT	12-Dec-2024
Insmed Inc.	INSM	4-Dec-2024
International Bancshares Corporation	IBOC	4-Dec-2024
Kontoor Brands, Inc.	КТВ	9-Dec-2024
Kulick and Soffa Industries, Inc.	KLIC	4-Dec-2024
Lam Research Corporation	LRCX	5-Dec-2024
Lancaster Colony Corporation	LANC	9-Dec-2024
Leidos Holdings, Inc.	LDOS	5-Dec-2024
Ligand Pharmaceuticals, Inc.	LGND	10-Dec-2024
Lockheed Martin Corporation	LMT	9-Dec-2024
Lucid Group Inc.	LCID	6-Dec-2024
M&T Bank Corp.	MTB	17-Dec-2024
Macy's Inc.	M	2-Dec-2024
Marcus Corporation	MCS	4-Dec-2024
Maxlinear, Inc.	MXL	16-Dec-2024
McGrath Rentcorp	MGRC	9-Dec-2024
Norfolk Southern Corp.	NSC	11-Dec-2024
PennyMac Financial Services Inc.	PFSI	17-Dec-2024
Perrigo Company Plc	PRGO	16-Dec-2024
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Qiagen NV	QGEN	16-Dec-2024
Ralph Lauren Corporation	RL	2-Dec-2024
Renasant Corp.	RNST	2-Dec-2024
RH	RH	2-Dec-2024
Rivian Automotive, Inc.	RIVN	4-Dec-2024
Shopify, Inc.	SHOP	11-Dec-2024
Skyworks Solutions Inc.	SWKS	4-Dec-2024
Synovus Financial Corp.	SNV	3-Dec-2024
Teleflex Inc.	TFX	2-Dec-2024
Territorial Bancorp Inc.	TBNK	17-Dec-2024
Texas Instruments, Inc.	TXN	2-Dec-2024
Thor Industries, Inc.	THO	2-Dec-2024
Travelers Companies Inc., The	TRV	3-Dec-2024
Trimble, Inc.	TRMB	3-Dec-2024
UMB Financial Corporation	UMBF	17-Dec-2024
United Bankshares Inc.	UBSI	4-Dec-2024
Universal Display Corp.	OLED	9-Dec-2024
UWM Holdings Corp	UWMC	11-Dec-2024
Veeva Systems, Inc.	VEEV	12-Dec-2024
Veracyte, Inc.	VCYT	2-Dec-2024
Verrica Pharmaceuticals, Inc.	VRCA	2-Dec-2024
Vicor Corp.	VICR	4-Dec-2024
VMWare Inc.	VMW	17-Dec-2024
Vontier Corp.	VNT	17-Dec-2024
W&T Offshore, Inc.	WTI	2-Dec-2024

4-Dec-2024

**YMAB** 



Y-mAbs Therapeutics Inc.

W.P. Carey Inc.	WPC	16-Dec-2024
Watsco, Inc.	wso	2-Dec-2024
Workiva, Inc.	WK	12-Dec-2024

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