

## **SEC Investigation Updates** November 12, 2024

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**Independent Investment Research Focused on Public Company Interactions with the SEC.** 

**Nothing Found** 

### No Recent SEC Investigative Activity Found

As of the dates shown in the table below, we received Freedom of Information Act (FOIA) responses from the SEC to suggest the absence of recent SEC investigative activity. If you think any of these companies have SEC investigations, or had them in the recent past, let us know and we'll look into it. Data presented are only current as of the Date of Response.

## 100 Companies in Today's Report

Company Name	Ticker	Date of Response
3M Company	MMM	22-Oct-2024
Agilysys Inc.	AGYS	10-Oct-2024
Air Products & Chemicals Inc.	APD	10-Oct-2024
Alexandria Real Estate Equities, Inc.	ARE	23-Oct-2024
American Express Company	AXP	21-Oct-2024
Ameris Bancorp	ABCB	17-Oct-2024
AO Smith Corp.	AOS	10-Oct-2024
Apartment Investment & Management Co.	AIV	16-Oct-2024
Appian Corp.	APPN	16-Oct-2024
Ardagh Metal Packaging S.A.	AMBP	16-Oct-2024
Arthur J Gallagher & Co.	AJG	16-Oct-2024
AtriCure Inc.	ATRC	15-Oct-2024
Automatic Data Processing, Inc.	ADP	15-Oct-2024
Box Inc.	вох	15-Oct-2024
Bread Financial Holdings, Inc.	BFH	21-Oct-2024
Canadian Pacific Railway Ltd.	СР	15-Oct-2024
Celanese Corporation	CE	16-Oct-2024
Central Pacific Financial Corp.	CPF	18-Oct-2024
ChargePoint Holdings Inc.	CHPT	23-Oct-2024
Clean Harbors, Inc.	CLH	21-Oct-2024
Comstock Resources Inc.	CRK	15-Oct-2024
Dave & Buster's Entertainment, Inc.	PLAY	23-Oct-2024
Dun & Bradstreet Holdings, Inc.	DNB	17-Oct-2024
Energizer Holdings, Inc.	ENR	15-Oct-2024
EnLink Midstream LLC	ENLC	11-Oct-2024
Envista Holdings Corp.	NVST	15-Oct-2024
Esperion Therapeutics Inc.	ESPR	15-Oct-2024
Exelixis, Inc.	EXEL	10-Oct-2024
Extra Space Storage Inc.	EXR	18-Oct-2024



Flushing Financial Corp.	FFIC	15-Oct-2024
Ford Motor Company	F	23-Oct-2024
Foreign Trade Bank of Latin America, Inc.	BLX	24-Oct-2024
Genesco Inc.	GCO	10-Oct-2024
Geospace Technologies Corp.	GEOS	21-Oct-2024
Ginkgo Bioworks Holdings, Inc.	DNA	11-Oct-2024
Glacier Bancorp, Inc.	GBCI	15-Oct-2024
Global Indemnity PLC.	GBLI	22-Oct-2024
Global Net Lease Inc.	GNL	21-Oct-2024
Globart SA	GLOB	23-Oct-2024
Golar LNG Ltd.	GLNG	22-Oct-2024
Gran Tierra Energy, Inc.	GTE	10-Oct-2024
Green Dot Corporation	GDOT	18-Oct-2024
Groupon, Inc.	GRPN	23-Oct-2024
Gulf Island Fabrication Inc.	GIFI	9-Oct-2024
Healthstream Inc.	HSTM	10-Oct-2024
Helmerich & Payne Inc.	HP	21-Oct-2024
Hertz Global Holdings Inc.	HTZ	10-Oct-2024
ICF International Inc.	ICFI	23-Oct-2024
Idacorp, Inc.	IDA	9-Oct-2024
Imperial Oil Ltd.	IMO	23-Oct-2024
Installed Building Products Inc.	IBP	10-Oct-2024
Insteel Industries, Inc.	IIIN	10-Oct-2024
IQVIA Holdings Inc.	IQV	18-Oct-2024
Janus Henderson Group Plc	JHG	9-Oct-2024
KB Home Inc.	КВН	24-Oct-2024
LKQ Corp.	LKQ	15-Oct-2024
M/I Homes, Inc.	МНО	18-Oct-2024
Marriott International, Inc.	MAR	17-Oct-2024
McDonald's Corp.	MCD	16-Oct-2024
Mercury General Corporation	MCY	16-Oct-2024
MetLife, Inc.	MET	18-Oct-2024
Motorcar Parts America, Inc.	MPAA	17-Oct-2024
Nelnet Inc.	NNI	16-Oct-2024
Northern Trust Corporation	NTRS	10-Oct-2024
NVIDIA Corporation	NVDA	18-Oct-2024
Oatly Group A.B.	OTLY	24-Oct-2024
Palo Alto Networks, Inc.	PANW	22-Oct-2024
Pediatrix Medical Group Inc.	MD	17-Oct-2024
Propetro Holding Corp.	PUMP	17-Oct-2024
Provident Financial Services Inc.	PFS	15-Oct-2024
Quanta Services, Inc.	PWR	17-Oct-2024
Rapid7 Inc.	RPD	17-Oct-2024
Rayonier, Inc.	RYN	15-Oct-2024
Regions Financial Corp.	RF	23-Oct-2024
Regis Corporation	RGS	17-Oct-2024



Rithm Capital Corp	RITM	9-Oct-2024
RMR Group Inc., The	RMR	15-Oct-2024
Sandy Spring Bancorp, Inc.	SASR	15-Oct-2024
SFL Corporation Ltd.	SFL	11-Oct-2024
Sirius XM Holdings Inc.	SIRI	15-Oct-2024
SiteOne Landscape Supply Inc.	SITE	11-Oct-2024
Snap-On Inc.	SNA	21-Oct-2024
SpartanNash Company	SPTN	17-Oct-2024
Spire Inc.	SR	10-Oct-2024
Sprouts Farmers Market, Inc.	SFM	23-Oct-2024
Starwood Property Trust, Inc.	STWD	22-Oct-2024
Tejon Ranch Company	TRC	15-Oct-2024
Thermon Group Holdings, Inc.	THR	10-Oct-2024
Tompkins Financial Corp.	TMP	10-Oct-2024
Triumph Group Inc.	TGI	18-Oct-2024
United Airlines Holdings Inc.	UAL	24-Oct-2024
Universal Corp.	UVV	10-Oct-2024
ViaSat Inc.	VSAT	10-Oct-2024
Viavi Solutions Inc.	VIAV	10-Oct-2024
Walmart Inc.	WMT	23-Oct-2024
Waters Corporation	WAT	18-Oct-2024
Webster Financial Corp.	WBS	18-Oct-2024
Werner Enterprises, Inc.	WERN	16-Oct-2024
Worthington Enterprises, Inc.	WOR	18-Oct-2024
Xencor Inc.	XNCR	16-Oct-2024

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## **SEC Investigation Updates – Nothing Found** November 12, 2024

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