

Independent Investment Research Focused on Public Company Interactions with the SEC.

Nothing Found

No Recent SEC Investigative Activity Found

As of the dates shown in the table below, we received Freedom of Information Act (FOIA) responses from the SEC to suggest the absence of recent SEC investigative activity. If you think any of these companies have SEC investigations, or had them in the recent past, let us know and we'll look into it. Data presented are only current as of the Date of Response.

150 Companies in Today's Report

	Company Name	Ticker	Date of Response
1	Adient PLC	ADNT	21-Jun-2024
2	Adtalem Global Education, Inc.	ATGE	18-Jun-2024
3	Advance Auto Parts Inc.	AAP	20-Jun-2024
4	Albany International Corp.	AIN	22-Aug-2024
5	Alico, Inc.	ALCO	26-Aug-2024
6	Amerant Bancorp Inc.	AMTB	20-Aug-2024
7	Americold Realty Trust	COLD	29-Aug-2024
8	Apogee Enterprises, Inc.	APOG	18-Jun-2024
9	Apple, Inc.	AAPL	18-Jun-2024
10	ArcBest Corporation	ARCB	30-Aug-2024
11	Arvinas, Inc.	ARVN	18-Jun-2024
12	Atara Biotherapeutics Inc.	ATRA	3-Sep-2024
13	ATI Inc.	ATI	26-Aug-2024
14	Atlantic Union Bankshares Corp.	AUB	20-Aug-2024
15	Azenta, Inc.	AZTA	26-Aug-2024
16	BankUnited, Inc.	BKU	19-Aug-2024
17	Baxter International, Inc.	BAX	19-Aug-2024
18	Boise Cascade Co.	BCC	20-Aug-2024
19	Booking Holdings Inc.	BKNG	30-Aug-2024
20	Cabot Corp.	CBT	21-Aug-2024
21	Cardinal Health Inc.	CAH	28-Aug-2024
22	CF Industries Holdings, Inc.	CF	28-Aug-2024
23	Chimera Investment Corporation	CIM	18-Jun-2024
24	Commercial Vehicle Group, Inc.	CVGI	18-Jun-2024
25	CommVault Systems Inc.	CVLT	17-Jun-2024
26	Core & Main, Inc.	CNM	30-Aug-2024
27	Corning Inc.	GLW	3-Sep-2024
28	Costamare, Inc.	CMRE	30-Aug-2024
29	Coterra Energy, Inc.	CTRA	3-Sep-2024

30	Coty, Inc.	COTY	18-Jun-2024
31	Credicorp Ltd	BAP	17-Jun-2024
32	Curtiss-Wright Corp.	CW	29-May-2024
33	CVR Energy, Inc.	CVI	30-Aug-2024
34	Datadog, Inc.	DDOG	30-Aug-2024
35	Definitive Healthcare Corp.	DH	29-Aug-2024
36	DHT Holdings, Inc.	DHT	20-Jun-2024
37	Diamond Hill Investment Group, Inc.	DHIL	30-Aug-2024
38	Diodes Incorporated	DIOD	26-Aug-2024
39	Donaldson Company, Inc.	DCI	26-Aug-2024
40	Douglas Dynamics Inc.	PLOW	28-Aug-2024
41	DT Midstream, Inc.	DTM	26-Aug-2024
42	Eastgroup Properties Inc.	EGP	23-Aug-2024
43	Editas Medicine Inc.	EDIT	26-Aug-2024
44	eHealth, Inc.	EHTH	21-Aug-2024
45	Encompass Health Corporation	EHC	23-Aug-2024
46	EnPro Industries, Inc.	NPO	26-Aug-2024
47	Escalade Inc.	ESCA	23-Aug-2024
48	ESCO Technologies, Inc.	ESE	21-Jun-2024
49	Evergy, Inc.	EVRG	20-Jun-2024
50	eXp World Holdings, Inc.	EXPI	3-Sep-2024
51	F&G Annuities & Life Inc.	FG	27-Aug-2024
52	First Financial Bancorp	FFBC	17-Jun-2024
53	First Financial Bankshares Inc.	FFIN	30-Aug-2024
54	Flex Ltd.	FLEX	27-Aug-2024
55	Forestar Group, Inc.	FOR	17-Jun-2024
56	Four Corners Property Trust Inc.	FCPT	27-Aug-2024
57	Gamestop Corp.	GME	19-Aug-2024
58	Gaming and Leisure Properties, Inc.	GLPI	27-Aug-2024
59	GEO Group Inc., The	GEO	20-Aug-2024
60	Getty Realty Corp.	GTY	21-Aug-2024
61	Goosehead Insurance, Inc.	GSHD	21-Jun-2024
62	Gorman-Rupp Company	GRC	30-Aug-2024
63	GXO Logistics, Inc.	GXO	22-Aug-2024
64	H&R Block, Inc.	HRB	28-Aug-2024
65	Halliburton Company	HAL	30-Aug-2024
66	Heartland Financial USA Inc.	HTLF	19-Aug-2024
67	Himax Technologies, Inc.	HIMX	30-Aug-2024
68	Homestreet Inc.	HMST	29-Aug-2024
69	ImmunoGen, Inc.	IMGN	28-Aug-2024
70	Independent Bank Group Inc.	IBTX	27-Aug-2024
71	J&J Snack Foods Corp.	JJSF	30-Aug-2024
72	JB Hunt Transport Services, Inc.	JBHT	27-Aug-2024
73	John Bean Technologies Corp.	JBT	20-Jun-2024
74	Kelly Services Inc.	KELYA	29-Aug-2024
75	Kratos Defense & Security Solutions Inc.	KTOS	21-Aug-2024

76	Lakeland Financial Corp.	LKFN	3-Sep-2024
77	LendingClub Corp.	LC	20-Aug-2024
78	LGI Homes Inc.	LGIH	3-Sep-2024
79	Lululemon Athletica, Inc.	LULU	30-Aug-2024
80	Madison Square Garden Sports Corp.	MSGS	3-Sep-2024
81	Madrigal Pharmaceuticals Inc.	MDGL	3-Sep-2024
82	Marathon Oil Corporation	MRO	30-Aug-2024
83	Markel Corp.	MKL	26-Aug-2024
84	MasterBrand Inc.	MBC	21-Aug-2024
85	Matson Inc.	MATX	29-Aug-2024
86	McKesson Corporation	MCK	29-Aug-2024
87	Merck & Co. Inc.	MRK	26-Aug-2024
88	Metallus Inc.	MTUS	29-Aug-2024
89	MongoDB, Inc.	MDB	20-Aug-2024
90	Moody's Corp.	MCO	27-Aug-2024
91	Myriad Genetics Inc.	MYGN	24-Jul-2024
92	nCino Inc.	NCNO	20-Aug-2024
93	Neogen Corp.	NEOG	21-Aug-2024
94	Nevro Corp.	NVRO	20-Aug-2024
95	Nlight Inc.	LASR	19-Aug-2024
96	NVE Corporation	NVEC	21-Aug-2024
97	Occidental Petroleum Corporation	OXY	21-Jun-2024
98	OFG Bancorp	OFG	21-Aug-2024
99	Outfront Media Inc.	OUT	21-Jun-2024
100	Pacific Biosciences of California Inc.	PACB	20-Jun-2024
101	Papa John's International Inc.	PZZA	28-Aug-2024
102	Patrick Industries Inc.	PATK	26-Aug-2024
103	PC Connection Inc.	CNXN	28-Aug-2024
104	Penske Automotive Group, Inc.	PAG	20-Aug-2024
105	Pentair PLC	PNR	21-Aug-2024
106	Phibro Animal Health Corp.	PAHC	21-Aug-2024
107	Q2 Holdings Inc.	QTWO	20-Aug-2024
108	Red Rock Resorts Inc.	RRR	28-Aug-2024
109	Revance Therapeutics, Inc.	RVNC	21-Aug-2024
110	Rhythm Pharmaceuticals Inc.	RYTM	21-Aug-2024
111	Rite Aid Corporation	RAD	30-Aug-2024
112	Rogers Corp.	ROG	20-Aug-2024
113	Sabra Health Care REIT Inc.	SBRA	27-Aug-2024
114	Sanmina Corp.	SANM	27-Aug-2024
115	Sarepta Therapeutics Inc.	SRPT	27-Aug-2024
116	Sealed Air Corp.	SEE	28-Aug-2024
117	Shinhan Financial Group Company Limited	SHG	23-Aug-2024
118	Shoe Carnival, Inc.	SCVL	23-Aug-2024
119	Shutterstock Inc.	SSTK	21-Aug-2024
120	Southside Bancshares, Inc.	SBSI	3-Sep-2024
121	Spectrum Brands Holdings Inc.	SPB	29-Aug-2024

122	Spirit Airlines, Inc.	SAVE	18-Jun-2024
123	Spotify Technology SA	SPOT	21-Aug-2024
124	SPS Commerce, Inc.	SPSC	21-Aug-2024
125	Steris plc	STE	20-Aug-2024
126	Sturm, Ruger & Company, Inc.	RGR	30-Aug-2024
127	Thomson Reuters Corporation	TRI	21-Aug-2024
128	Tidewater Inc.	TDW	23-Aug-2024
129	Toast, Inc.	TOST	23-Aug-2024
130	TPI Composites, Inc.	TPIC	20-Jun-2024
131	Two Harbors Investment Corp.	TWO	20-Jun-2024
132	UniFirst Corp.	UNF	21-Jun-2024
133	United States Steel Corp.	X	30-Aug-2024
134	United Therapeutics Corporation	UTHR	26-Aug-2024
135	Universal Insurance Holdings Inc.	UVE	28-Aug-2024
136	Upwork, Inc.	UPWK	27-Aug-2024
137	US Bancorp	USB	21-Jun-2024
138	US Foods Holding Corp	USFD	3-Sep-2024
139	Valhi, Inc.	VHI	28-Aug-2024
140	Vericel Corp.	VCEL	18-Jun-2024
141	Veritex Holdings, Inc.	VBTX	20-Jun-2024
142	Walker & Dunlop, Inc.	WD	3-Sep-2024
143	WEC Energy Group Inc.	WEC	20-Jun-2024
144	Westwood Holdings Group Inc.	WHG	30-Aug-2024
145	Wolverine World Wide, Inc.	WWW	29-Aug-2024
146	Woori Financial Group, Inc.	WF	26-Aug-2024
147	Xenia Hotels & Resorts Inc.	XHR	28-Aug-2024
148	Yext Inc.	YEXT	21-Aug-2024
149	Zebra Technologies Corp.	ZBRA	20-Jun-2024
150	Zscaler, Inc.	ZS	27-Aug-2024



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