



Updates on SEC Investigative Activity for 99 US Companies

Disclosure Insight research provides data, commentary, and analysis on public company interactions with investors and with the SEC. Our work is heavily reliant on company disclosures and our expertise in using the Freedom of Information Act.

2U, Inc.	TWOU
Accature Ltd	ACN
ACV Auctions, Inc.	ACVA
AeroVironment, Inc.	AVAV
Air Transport Services Group, Inc.	ATSG
Akebia Therapeutics, Inc.	AKBA
Align Technology, Inc.	ALGN
Allison Transmission Holdings, Inc.	ALSN
Annaly Capital Management, Inc.	NLY
Anterix, Inc.	ATEX
Apple Hospitality REIT, Inc.	APLE
Baidu, Inc.	BIDU
Ball Corporation	BLL
Bj's Wholesale Club Holdings, Inc.	BJ
Blackline, Inc.	BL
Brady Corp.	BRC
Bright Horizons Family Solutions	BFAM
BrightHouse Financial, Inc.	BHF
BrightSphere Investment Group	BSIG
Byline Bancorp, Inc.	BY
Cable One, Inc.	CABO
Carpenter Technology Corporation	CRS
Century Communities, Inc.	CCS
Cimpress N.V.	CMPR

Citi Trends, Inc.	CTRN
City Office REIT, Inc.	CIO
Clean Energy Fuels Corp.	CLNE
Colgate-Palmolive Company	CL
Columbus McKinnon Corp.	CMCO
Cytokinetics, Inc.	CYTK
Domino's Pizza, Inc.	DPZ
Enphase Energy, Inc.	ENPH
Essent Group Ltd.	ESNT
Extreme Networks, Inc.	EXTR
FARO Technologies, Inc.	FARO
Fate Therapeutics, Inc.	FATE
FB Financial Corp.	FBK
FedEx Corp.	FDX
Fortinet, Inc.	FTNT
Forum Energy Technologies, Inc.	FET
Forward Air Corp.	FWRD
Franklin Electric Co., Inc.	FELE
GoDaddy, Inc.	GDDY
Group 1 Automotive, Inc.	GPI
Health Catalyst Inc.	HCAT
Inspire Medical Systems, Inc.	INSP
iRobot Corporation	IRBT
Juniper Networks, Inc.	JNPR

Kinsale Capital Group, Inc.	KNSL
Kite Realty Group Trust	KRG
Kronos Worldwide, Inc.	KRO
Ladder Capital Corp.	LADR
Lennox International, Inc.	LII
Lindblad Expeditions Holdings, Inc.	LIND
LivaNova PLC	LIVN
Manulife Financial Corporation	MFC
Marathon Petroleum Corp.	MPC
Marsh & McLennan Companies	MMC
MGIC Investment Corp.	MTG
Moelis & Co.	MC
Motorola Solutions, Inc.	MSI
NetScout Systems, Inc.	NTCT
NMI Holdings Inc.	NMIH
NVR Inc.	NVR
Omega Healthcare Investors, Inc.	OHI
Oracle Corporation	ORCL
PACCAR, Inc.	PCAR
Paylocity Holding Corp.	PCTY
Pepsico, Inc.	PEP
Premier Inc.	PINC
Prothena Corporation PLC	PRTA
Public Storage, Inc.	PSA

Redfin Corp.	RDFN
S&T Bancorp, Inc.	STBA
Service Corporation International	SCI
Signet Jewelers, Ltd.	SIG
Sonos, Inc.	SONO
Spirit Realty Capital, Inc.	SRC
Stoke Therapeutics, Inc.	STOK
Tactile Systems Technology, Inc.	TCMD
Tenable Holdings, Inc.	TENB

Tetra Tech, Inc.	TTEK
Textron, Inc.	TXT
TowneBank	TOWN
Tractor Supply Co.	TSCO
Universal Health Services, Inc.	UHS
Urban Outfitters, Inc.	URBN
Varex Imaging Corp.	VREX
Vera Bradley, Inc.	VRA
Verisign, Inc.	VRSN

Vir Biotechnology, Inc.	VIR
Virtus Investment Partners, Inc.	VRTS
Vroom, Inc.	VRM
Watts Water Technologies, Inc.	WTS
WesBanco, Inc.	WSBC
WK Kellogg Co.	KLG
Wyndham Hotels & Resorts, Inc.	WH
Yeti Holdings, Inc.	YETI
Zions Bancorp	ZION

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Assess the Risk. Achieve the Return.®

The data reflected in this report are only current as of the dates indicated for each individual company. SEC investigative activity could theoretically begin or end after the date covered by the latest information in this report which would not be reflected here. Similarly, public company activities and/or disclosures made since the dates indicated herein could impact your analysis. Companies with undisclosed SEC investigations are maintained on our Watch List of companies with undisclosed SEC investigations. Additional disclosures and information appear at the end of this report.

To learn more about our research process, including how to best use this information in your own decision-making, [click here](#).

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SEC Investigation Updates

July 25, 2024

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Updates on SEC Investigative Activity for US-Listed Companies Market data as of the close on Wednesday, 24-Jul-2024

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Company Name	Ticker	Date of Response	Response Type	Price	Mkt Cap \$MM	Analyst Notes / Observations
NF 2U, Inc.	TWOU	1-Jul-2024	FOIA Response	\$4.12	12	No recent SEC investigative activity found.
NF Accenture Ltd	ACN	24-Jun-2024	FOIA Response	\$328.68	220,859	No recent SEC investigative activity found.
NF ACV Auctions, Inc.	ACVA	8-Jul-2024	FOIA Response	\$17.70	2,922	No recent SEC investigative activity found.
NF AeroVironment, Inc.	AVAV	8-Jul-2024	FOIA Response	\$170.31	4,792	No recent SEC investigative activity found.
NF Air Transport Services Group, Inc.	ATSG	24-Jun-2024	FOIA Response	\$15.29	1,005	No recent SEC investigative activity found.
NF Akebia Therapeutics, Inc.	AKBA	8-Jul-2024	FOIA Response	\$1.47	308	No recent SEC investigative activity found.
NF Align Technology, Inc.	ALGN	26-Jun-2024	FOIA Response	\$244.80	18,429	No recent SEC investigative activity found.
NF Allison Transmission Holdings, Inc.	ALSN	8-Jul-2024	FOIA Response	\$82.63	7,228	No recent SEC investigative activity found.
NF Annaly Capital Management, Inc.	NLY	9-Jul-2024	FOIA Response	\$19.90	9,959	No recent SEC investigative activity found.
NF Anterix, Inc.	ATEX	8-Jul-2024	FOIA Response	\$39.31	730	No recent SEC investigative activity found.
NF Apple Hospitality REIT, Inc.	APLE	1-Jul-2024	FOIA Response	\$14.81	3,589	No recent SEC investigative activity found.
NF Baidu, Inc.	BIDU	1-Jul-2024	FOIA Response	\$88.75	31,120	No recent SEC investigative activity found.
NF Ball Corporation	BLL	8-Jul-2024	FOIA Response	\$57.56	19,262	No recent SEC investigative activity found.
NF Bj's Wholesale Club Holdings, Inc.	BJ	8-Jul-2024	FOIA Response	\$89.29	11,850	No recent SEC investigative activity found.
NF Blackline, Inc.	BL	9-Jul-2024	FOIA Response	\$46.82	2,894	No recent SEC investigative activity found.



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NF Brady Corp.	BRC	9-Jul-2024	FOIA Response	\$70.87	3,365	No recent SEC investigative activity found.
NF Bright Horizons Family Solutions, Inc.	BFAM	1-Jul-2024	FOIA Response	\$115.13	6,678	No recent SEC investigative activity found.
NF Brighthouse Financial, Inc.	BHF	9-Jul-2024	FOIA Response	\$47.66	2,960	No recent SEC investigative activity found.
NF BrightSphere Investment Group, Inc.	BSIG	27-Jun-2024	FOIA Response	\$24.80	937	No recent SEC investigative activity found.
NF Byline Bancorp, Inc.	BY	9-Jul-2024	FOIA Response	\$28.34	1,251	No recent SEC investigative activity found.
NF Cable One, Inc.	CABO	9-Jul-2024	FOIA Response	\$342.31	1,924	No recent SEC investigative activity found.
NF Carpenter Technology Corporation	CRS	26-Jun-2024	FOIA Response	\$121.50	6,027	No recent SEC investigative activity found.
NF Century Communities, Inc.	CCS	27-Jun-2024	FOIA Response	\$96.62	3,072	No recent SEC investigative activity found.
NF Cimpres N.V.	CMPR	25-Jun-2024	FOIA Response	\$89.67	2,282	No recent SEC investigative activity found.
NF Citi Trends, Inc.	CTRN	8-Jul-2024	FOIA Response	\$18.63	160	No recent SEC investigative activity found.
NF City Office REIT, Inc.	CIO	9-Jul-2024	FOIA Response	\$5.65	227	No recent SEC investigative activity found.
NF Clean Energy Fuels Corp.	CLNE	24-Jun-2024	FOIA Response	\$2.62	585	No recent SEC investigative activity found.
NF Colgate-Palmolive Company	CL	9-Jul-2024	FOIA Response	\$96.60	79,255	No recent SEC investigative activity found.
NF Columbus McKinnon Corp.	CMCO	27-Jun-2024	FOIA Response	\$37.10	1,071	No recent SEC investigative activity found.
NF Cytokinetics, Inc.	CYTK	2-Jul-2024	FOIA Response	\$56.80	6,592	No recent SEC investigative activity found.



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NF	Domino's Pizza, Inc.	DPZ	1-Jul-2024	FOIA Response	\$424.73	14,854	No recent SEC investigative activity found.
NF	Enphase Energy, Inc.	ENPH	9-Jul-2024	FOIA Response	\$116.91	15,907	No recent SEC investigative activity found.
NF	Essent Group Ltd.	ESNT	21-Jun-2024	FOIA Response	\$60.53	6,457	No recent SEC investigative activity found.
NF	Extreme Networks, Inc.	EXTR	24-Jun-2024	FOIA Response	\$13.68	1,778	No recent SEC investigative activity found.
NF	FARO Technologies, Inc.	FARO	24-Jun-2024	FOIA Response	\$16.98	326	No recent SEC investigative activity found.
NF	Fate Therapeutics, Inc.	FATE	9-Jul-2024	FOIA Response	\$5.18	590	No recent SEC investigative activity found.
NF	FB Financial Corp.	FBK	2-Jul-2024	FOIA Response	\$46.32	2,177	No recent SEC investigative activity found.
NF	FedEx Corp.	FDX	3-Jul-2024	FOIA Response	\$298.28	72,871	No recent SEC investigative activity found.
NF	Fortinet, Inc.	FTNT	24-Jun-2024	FOIA Response	\$56.64	43,269	No recent SEC investigative activity found.
NF	Forum Energy Technologies, Inc.	FET	24-Jun-2024	FOIA Response	\$17.21	211	No recent SEC investigative activity found.
NF	Forward Air Corp.	FWRD	8-Jul-2024	FOIA Response	\$25.30	669	No recent SEC investigative activity found.
NF	Franklin Electric Co., Inc.	FELE	3-Jul-2024	FOIA Response	\$101.08	4,658	No recent SEC investigative activity found.
NF	GoDaddy, Inc.	GDDY	5-Jul-2024	FOIA Response	\$143.21	20,184	No recent SEC investigative activity found.
NF	Group 1 Automotive, Inc.	GPI	27-Jun-2024	FOIA Response	\$325.71	4,408	No recent SEC investigative activity found.
NF	Health Catalyst Inc.	HCAT	9-Jul-2024	FOIA Response	\$7.62	450	No recent SEC investigative activity found.



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NF Inspire Medical Systems, Inc.	INSP	1-Jul-2024	FOIA Response	\$139.60	4,148	No recent SEC investigative activity found.
NF iRobot Corporation	IRBT	3-Jul-2024	FOIA Response	\$11.53	332	No recent SEC investigative activity found.
NF Juniper Networks, Inc.	JNPR	1-Jul-2024	FOIA Response	\$36.77	11,950	No recent SEC investigative activity found.
NF Kinsale Capital Group, Inc.	KNSL	26-Jun-2024	FOIA Response	\$372.15	8,662	No recent SEC investigative activity found.
NF Kite Realty Group Trust	KRG	5-Jul-2024	FOIA Response	\$23.60	5,184	No recent SEC investigative activity found.
NF Kronos Worldwide, Inc.	KRO	27-Jun-2024	FOIA Response	\$11.82	1,360	No recent SEC investigative activity found.
NF Ladder Capital Corp.	LADR	28-Jun-2024	FOIA Response	\$11.84	1,514	No recent SEC investigative activity found.
NF Lennox International, Inc.	LII	26-Jun-2024	FOIA Response	\$541.15	19,278	No recent SEC investigative activity found.
NF Lindblad Expeditions Holdings, Inc.	LIND	9-Jul-2024	FOIA Response	\$9.77	523	No recent SEC investigative activity found.
NF LivaNova PLC	LIVN	9-Jul-2024	FOIA Response	\$53.77	2,912	No recent SEC investigative activity found.
NF Manulife Financial Corporation	MFC	1-Jul-2024	FOIA Response	\$25.67	45,765	No recent SEC investigative activity found.
NF Marathon Petroleum Corp.	MPC	5-Jul-2024	FOIA Response	\$167.55	59,033	No recent SEC investigative activity found.
NF Marsh & McLennan Companies, Inc.	MMC	27-Jun-2024	FOIA Response	\$217.48	106,947	No recent SEC investigative activity found.
NF MGIC Investment Corp.	MTG	5-Jul-2024	FOIA Response	\$23.36	6,228	No recent SEC investigative activity found.
NF Moelis & Co.	MC	24-Jun-2024	FOIA Response	\$62.79	4,696	No recent SEC investigative activity found.



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NF	Motorola Solutions, Inc.	MSI	9-Jul-2024	FOIA Response	\$390.60	65,147	No recent SEC investigative activity found.
NF	NetScout Systems, Inc.	NTCT	5-Jul-2024	FOIA Response	\$18.25	1,303	No recent SEC investigative activity found.
NF	NMI Holdings Inc.	NMIH	9-Jul-2024	FOIA Response	\$37.80	3,034	No recent SEC investigative activity found.
NF	NVR Inc.	NVR	27-Jun-2024	FOIA Response	\$8,404.49	26,326	No recent SEC investigative activity found.
NF	Omega Healthcare Investors, Inc.	OHI	8-Jul-2024	FOIA Response	\$36.39	8,992	No recent SEC investigative activity found.
NF	Oracle Corporation	ORCL	3-Jul-2024	FOIA Response	\$138.77	382,431	No recent SEC investigative activity found.
NF	PACCAR, Inc.	PCAR	1-Jul-2024	FOIA Response	\$98.90	51,838	No recent SEC investigative activity found.
NF	Paylocity Holding Corp.	PCTY	27-Jun-2024	FOIA Response	\$142.31	8,031	No recent SEC investigative activity found.
NF	Pepsico, Inc.	PEP	3-Jul-2024	FOIA Response	\$168.17	230,994	No recent SEC investigative activity found.
NF	Premier Inc.	PINC	3-Jul-2024	FOIA Response	\$20.21	2,118	No recent SEC investigative activity found.
NF	Prothena Corporation PLC	PRTA	28-Jun-2024	FOIA Response	\$23.97	1,289	No recent SEC investigative activity found.
NF	Public Storage, Inc.	PSA	8-Jul-2024	FOIA Response	\$295.55	51,935	No recent SEC investigative activity found.
NF	Redfin Corp.	RDFN	5-Jul-2024	FOIA Response	\$7.39	882	No recent SEC investigative activity found.
NF	S&T Bancorp, Inc.	STBA	5-Jul-2024	FOIA Response	\$43.58	1,667	No recent SEC investigative activity found.
NF	Service Corporation International	SCI	5-Jul-2024	FOIA Response	\$74.53	10,852	No recent SEC investigative activity found.



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NF	Signet Jewelers, Ltd.	SIG	5-Jul-2024	FOIA Response	\$82.03	3,659	No recent SEC investigative activity found.
NF	Sonos, Inc.	SONO	24-Jun-2024	FOIA Response	\$13.42	1,649	No recent SEC investigative activity found.
NF	Spirit Realty Capital, Inc.	SRC	5-Jul-2024	FOIA Response	\$0.90	15	No recent SEC investigative activity found.
NF	Stoke Therapeutics, Inc.	STOK	2-Jul-2024	FOIA Response	\$14.28	744	No recent SEC investigative activity found.
NF	Tactile Systems Technology, Inc.	TCMD	25-Jun-2024	FOIA Response	\$12.88	306	No recent SEC investigative activity found.
NF	Tenable Holdings, Inc.	TENB	5-Jul-2024	FOIA Response	\$42.66	5,071	No recent SEC investigative activity found.
NF	Tetra Tech, Inc.	TTEK	25-Jun-2024	FOIA Response	\$206.06	11,024	No recent SEC investigative activity found.
NF	Textron, Inc.	TXT	8-Jul-2024	FOIA Response	\$90.12	17,186	No recent SEC investigative activity found.
NF	TowneBank	TOWN	8-Jul-2024	FOIA Response	\$32.03	2,402	No recent SEC investigative activity found.
NF	Tractor Supply Co.	TSCO	5-Jul-2024	FOIA Response	\$262.52	28,302	No recent SEC investigative activity found.
NF	Universal Health Services, Inc.	UHS	5-Jul-2024	FOIA Response	\$185.97	12,321	No recent SEC investigative activity found.
NF	Urban Outfitters, Inc.	URBN	26-Jun-2024	FOIA Response	\$44.90	4,194	No recent SEC investigative activity found.
NF	Varex Imaging Corp.	VREX	2-Jul-2024	FOIA Response	\$14.36	587	No recent SEC investigative activity found.
NF	Vera Bradley, Inc.	VRA	25-Jun-2024	FOIA Response	\$6.57	194	No recent SEC investigative activity found.
NF	Verisign, Inc.	VRSN	8-Jul-2024	FOIA Response	\$174.97	17,427	No recent SEC investigative activity found.



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NF Vir Biotechnology, Inc.	VIR	8-Jul-2024	FOIA Response	\$10.10	1,374	No recent SEC investigative activity found.
NF Virtus Investment Partners, Inc.	VRTS	8-Jul-2024	FOIA Response	\$237.40	1,692	No recent SEC investigative activity found.
NF Vroom, Inc.	VRM	9-Jul-2024	FOIA Response	\$9.25	17	No recent SEC investigative activity found.
NF Watts Water Technologies, Inc.	WTS	9-Jul-2024	FOIA Response	\$195.03	6,508	No recent SEC investigative activity found.
NF WesBanco, Inc.	WSBC	8-Jul-2024	FOIA Response	\$33.32	1,980	No recent SEC investigative activity found.
NF WK Kellogg Co.	KLG	26-Jun-2024	FOIA Response	\$16.54	1,420	No recent SEC investigative activity found.
NF Wyndham Hotels & Resorts, Inc.	WH	8-Jul-2024	FOIA Response	\$70.42	5,674	No recent SEC investigative activity found.
NF Yeti Holdings, Inc.	YETI	9-Jul-2024	FOIA Response	\$36.57	3,117	No recent SEC investigative activity found.
NF Zions Bancorp	ZION	28-Jun-2024	FOIA Response	\$50.98	7,529	No recent SEC investigative activity found.



-- End of SEC Investigation Updates --

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