



SEC Investigation Updates March 17, 2024

John P. Gavin, CFA

Updates on SEC Investigative Activity for 200 US Companies

Disclosure Insight research provides data, commentary, and analysis on public company interactions with investors and with the SEC. Our work is heavily reliant on company disclosures and our expertise in using the Freedom of Information Act.

10x Genomics Inc.	TXG
ABM Industries Inc.	ABM
Adicet Bio, Inc.	ACET
Agenus, Inc.	AGEN
Agilent Technologies Inc.	Α
Air Lease Corporation	AL
Alexandria Real Estate Equities	ARE
Alkermes Plc	ALKS
Ambac Financial Group, Inc.	AMBC
American Homes 4 Rent	AMH
American Water Works Company	AWK
Ametek, Inc.	AME
Anika Therapeutics, Inc.	ANIK
Apartment Investment & Mgmt.	AIV
Apellis Pharmaceuticals, Inc.	APLS
Appian Corp.	APPN
Applovin Corporation	APP
Arthur J Gallagher & Co.	AJG
Autolus Therapeutics plc.	AUTL
AvalonBay Communities, Inc.	AVB
Avery Dennison Corporation	AVY
Axis Capital Holdings Limited	AXS
Barnes & Noble Education, Inc.	BNED
Berkshire Hills Bancorp, Inc.	BHLB

BJ's Restaurants, Inc.BJRIBoston Properties, Inc.BXPBrandywine Realty TrustBDNBrookdale Senior Living Inc.BKDBrown & Brown, Inc.CNCadence Design Systems, Inc.CDNSCallon Petroleum CompanyCPECamden Property TrustCPTCampbell Soup CoCPBCanadian Natural Resources LtdCNQCardlytics Inc.CDLXCECO Environmental Corp.CECOCentral Pacific Financial Corp.CLDTChord Energy Corp.CHRDCiena Corp.CLVTClarivate PLCCLVTClean Harbors, Inc.CCHRConmed CorporationCNMDConsolidated Edison, Inc.STZ		
Brandywine Realty TrustBDNBrookdale Senior Living Inc.BKDBrown & Brown, Inc.BROCadence Design Systems, Inc.CDNSCallon Petroleum CompanyCPECamden Property TrustCPTCampbell Soup CoCPBCannae Holdings Inc.CNNECardlytics Inc.CDLXCECO Environmental Corp.CEFChatham Lodging TrustCLDTChord Energy Corp.CHRDCiena Corp.CLVTClean Harbors, Inc.CLVTClean Harbors, Inc.CLHCoherent Corp.CHRConmunity Health SystemsCYHConsolidated Edison, Inc.ED	BJ's Restaurants, Inc.	BJRI
Brookdale Senior Living Inc.BKDBrown & Brown, Inc.BROCadence Design Systems, Inc.CDNSCallon Petroleum CompanyCPECamden Property TrustCPTCampbell Soup CoCPBCanadian Natural Resources LtdCNQCannae Holdings Inc.CNNECardlytics Inc.CDLXCECO Environmental Corp.CECOCentral Pacific Financial Corp.CFFChatham Lodging TrustCLDTChord Energy Corp.CHRDCiena Corp.CLVTClean Harbors, Inc.CLHCoherent Corp.COHRCommunity Health SystemsCYHConsolidated Edison, Inc.ED	Boston Properties, Inc.	BXP
Brown & Brown, Inc.BROCadence Design Systems, Inc.CDNSCallon Petroleum CompanyCPECamden Property TrustCPTCampbell Soup CoCPBCanadian Natural Resources LtdCNQCannae Holdings Inc.CNNECardlytics Inc.CDLXCECO Environmental Corp.CECOCentral Pacific Financial Corp.CPFChatham Lodging TrustCLDTChord Energy Corp.CHRDCiena Corp.CLVTClean Harbors, Inc.CLHCoherent Corp.COHRCommunity Health SystemsCYHConsolidated Edison, Inc.ED	Brandywine Realty Trust	BDN
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Callon Petroleum CompanyCPECamden Property TrustCPTCampbell Soup CoCPBCanadian Natural Resources LtdCNQCannae Holdings Inc.CNNECardlytics Inc.CDLXCECO Environmental Corp.CECOCentral Pacific Financial Corp.CPFChatham Lodging TrustCLDTChord Energy Corp.CHRDCiena Corp.CLVTClean Harbors, Inc.CLHCoherent Corp.CCHRCommunity Health SystemsCYHConsolidated Edison, Inc.ED	Brown & Brown, Inc.	BRO
Camden Property TrustCPTCampbell Soup CoCPBCanadian Natural Resources LtdCNQCannae Holdings Inc.CNNECardlytics Inc.CDLXCECO Environmental Corp.CECOCentral Pacific Financial Corp.CPFChatham Lodging TrustCLDTChord Energy Corp.CHRDClarivate PLCCLVTClean Harbors, Inc.CLHCoherent Corp.COHRCommunity Health SystemsCYHConsolidated Edison, Inc.ED	Cadence Design Systems, Inc.	CDNS
Campbell Soup CoCPBCanadian Natural Resources LtdCNQCannae Holdings Inc.CNNECardlytics Inc.CDLXCeco Environmental Corp.CECOCentral Pacific Financial Corp.CPFChatham Lodging TrustCLDTChord Energy Corp.CHRDCiena Corp.CLVTClean Harbors, Inc.CLHCoherent Corp.COHRCommunity Health SystemsCYHConsolidated Edison, Inc.ED	Callon Petroleum Company	СРЕ
Canadian Natural Resources LtdCNQCannae Holdings Inc.CNNECardlytics Inc.CDLXCECO Environmental Corp.CECOCentral Pacific Financial Corp.CPFChatham Lodging TrustCLDTChord Energy Corp.CHRDCiena Corp.CLVTClarivate PLCCLVTClean Harbors, Inc.CLHCoherent Corp.COHRCommunity Health SystemsCYHConsolidated Edison, Inc.ED	Camden Property Trust	СРТ
Cannae Holdings Inc.CNNECardlytics Inc.CDLXCECO Environmental Corp.CECOCentral Pacific Financial Corp.CPFChatham Lodging TrustCLDTChord Energy Corp.CHRDCiena Corp.CIENClarivate PLCCLVTClean Harbors, Inc.CLHCoherent Corp.COHRCommunity Health SystemsCYHConsolidated Edison, Inc.ED	Campbell Soup Co	СРВ
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CECO Environmental Corp.CECOCentral Pacific Financial Corp.CPFChatham Lodging TrustCLDTChord Energy Corp.CHRDCiena Corp.CIENClarivate PLCCLVTClean Harbors, Inc.CLHCoherent Corp.COHRCommunity Health SystemsCYHConsolidated Edison, Inc.ED	Cannae Holdings Inc.	CNNE
Central Pacific Financial Corp.CPFChatham Lodging TrustCLDTChord Energy Corp.CHRDCiena Corp.CIENClarivate PLCCLVTClean Harbors, Inc.CLHCoherent Corp.COHRCommunity Health SystemsCYHConsolidated Edison, Inc.ED	Cardlytics Inc.	CDLX
Chatham Lodging TrustCLDTChord Energy Corp.CHRDCiena Corp.CIENClarivate PLCCLVTClean Harbors, Inc.CLHCoherent Corp.COHRCommunity Health SystemsCYHConmed CorporationCNMDConsolidated Edison, Inc.ED	CECO Environmental Corp.	CECO
Chord Energy Corp.CHRDCiena Corp.CIENClarivate PLCCLVTClean Harbors, Inc.CLHCoherent Corp.COHRCommunity Health SystemsCYHConmed CorporationCNMDConsolidated Edison, Inc.ED	Central Pacific Financial Corp.	CPF
Ciena Corp.CIENClarivate PLCCLVTClean Harbors, Inc.CLHCoherent Corp.COHRCommunity Health SystemsCYHConmed CorporationCNMDConsolidated Edison, Inc.ED	Chatham Lodging Trust	CLDT
Clarivate PLCCLVTClean Harbors, Inc.CLHCoherent Corp.COHRCommunity Health SystemsCYHConmed CorporationCNMDConsolidated Edison, Inc.ED	Chord Energy Corp.	CHRD
Clean Harbors, Inc.CLHCoherent Corp.COHRCommunity Health SystemsCYHConmed CorporationCNMDConsolidated Edison, Inc.ED	Ciena Corp.	CIEN
Coherent Corp.COHRCommunity Health SystemsCYHConmed CorporationCNMDConsolidated Edison, Inc.ED	Clarivate PLC	CLVT
Community Health SystemsCYHConmed CorporationCNMDConsolidated Edison, Inc.ED	Clean Harbors, Inc.	CLH
Conmed CorporationCNMDConsolidated Edison, Inc.ED	Coherent Corp.	COHR
Consolidated Edison, Inc. ED	Community Health Systems	СҮН
	Conmed Corporation	CNMD
Constellation Brands, Inc. STZ	Consolidated Edison, Inc.	ED
	Constellation Brands, Inc.	STZ

Core Laboratories NV	CLB
CoreCivic Inc.	CXW
Cracker Barrel Old Country Store	CBRL
CSW Industrials, Inc.	CSWI
Cullen-Frost Bankers, Inc.	CFR
Cummins, Inc.	СМІ
Darling International Inc.	DAR
Deckers Outdoor Corp	DECK
Devon Energy Corporation	DVN
Dollar General Corporation	DG
DoorDash Inc.	DASH
Dril-Quip, Inc.	DRQ
Dynatrace Inc.	DT
Ecolab, Inc.	ECL
Edison International	EIX
EnLink Midstream LLC	ENLC
Equifax, Inc.	EFX
Equity Residential	EQR
Erie Indemnity Company	ERIE
Essential Utilities Inc.	WTRG
Establishment Labs Holdings Inc.	ESTA
Etsy Inc.	ETSY
ExlService Holdings, Inc.	EXLS
Expeditors Intl of Washington Inc.	EXPD





SEC Investigation Updates March 17, 2024

Extra Space Storage Inc.	EXR
Ferroglobe PLC	GSM
FibroGen Inc.	FGEN
Fidelity National Financial, Inc.	FNF
Fiserv, Inc.	FISV
Flowserve Corp.	FLS
Flushing Financial Corp.	FFIC
FNB Corporation	FNB
Foreign Trade Bank of Latin America	BLX
FormFactor, Inc.	FORM
Fox Corporation	FOX
Franklin Street Properties Corp.	FSP
Fulton Financial Corporation	FULT
Generac Holdings, Inc.	GNRC
Genpact Ltd.	G
Gentherm Inc.	THRM
Geospace Technologies Corp.	GEOS
German American Bancorp, Inc.	GABC
Glacier Bancorp, Inc.	GBCI
Glatfelter Corp.	GLT
Global Indemnity PLC.	GBLI
Global Net Lease Inc.	GNL
Global Payments Inc.	GPN
Globant SA	GLOB
Golar LNG Ltd.	GLNG
Groupon, Inc.	GRPN
Guardant Health, Inc.	GH
Hanesbrands, Inc.	HBI
Harley-Davidson, Inc.	HOG
Hartford Financial Services Group	HIG
Haynes International, Inc.	HAYN
Helmerich & Payne Inc.	HP

Houlihan Lokey Inc.	HLI
ICF International Inc.	ICFI
Imperial Oil Ltd.	IMO
Ingersoll-Rand Plc	IR
Insperity, Inc.	NSP
Interpid Potash, Inc.	IPI
Intra-Cellular Therapies Inc.	ITCI
Ironwood Pharmaceuticals, Inc.	IRWD
ITT, Inc.	ITT
Jack In The Box Inc.	JACK
JetBlue Airways Corp.	JBLU
KB Home Inc.	КВН
KBR, Inc.	KBR
Knight-Swift Transportation	KNX
Kosmos Energy Ltd.	KOS
Lakeland Bancorp, Inc.	LBAI
Lam Research Corporation	LRCX
Leggett & Platt, Inc.	LEG
Lifetime Brands, Inc.	LCUT
Lifeway Foods Inc.	LWAY
Lincoln Electric Holdings Inc.	LECO
LKQ Corp.	LKQ
Loews Corporation	L
LSI Industries Inc.	LYTS
Macerich Co.	MAC
Maiden Holdings Ltd.	MHLD
Manitowoc Company, Inc., The	MTW
MannKind Corp.	MNKD
ManpowerGroup, Inc.	MAN
Mercury General Corporation	MCY
Mettler-Toledo International Inc.	MTD
Minerals Technologies, Inc.	ΜΤΧ

Monster Beverage Corporation	MNST
MSA Safety Inc.	MSA
National Fuel Gas Co.	NFG
National Retail Properties, Inc.	NNN
Nelnet Inc.	NNI
New York Times Co., The	NYT
NewMarket Corporation	NEU
News Corp.	NWSA
Nike, Inc.	NKE
Northwest Natural Gas Co.	NWN
NXP Semiconductors NV	NXPI
Oatly Group A.B.	OTLY
OGE Energy Corp.	OGE
Oil States International Inc.	OIS
Ollie's Bargain Outlet Holdings	OLLI
ONEOK Inc.	OKE
Openlane, Inc.	KAR
Pacific Premier Bancorp Inc.	PPBI
Pacira BioSciences Inc.	PCRX
Packaging Corporation of America	PKG
PBF Energy, Inc.	PBF
Pediatrix Medical Group, Inc.	MD
Piedmont Office Realty Trust Inc.	PDM
PJT Partners Inc.	PJT
Proto Labs Inc.	PRLB
PTC Inc.	РТС
Radware, Ltd.	RDWR
Rambus, Inc.	RMBS
Red Robin Gourmet Burgers, Inc.	RRGB
Regis Corporation	RGS
Reinsurance Group of America	RGA
Repligen Corporation	RGEN





SEC Investigation Updates March 17, 2024

Replimune Group, Inc.	REPL
Royal Gold Inc.	RGLD
RPC, Inc.	RES
Safety Insurance Group, Inc.	SAFT
Select Medical Holdings Corp.	SEM
Sempra	SRE
Silgan Holdings Inc.	SLGN
Sinclair Broadcast Group Inc.	SBGI
Starwood Property Trust, Inc.	STWD
Steelcase, Inc.	SCS
Super Micro Computer, Inc.	SMCI
Supernus Pharmaceuticals Inc.	SUPN

Taylor Morrison Home Corporation	тмнс
Textainer Group Holdings Limited	TGH
TG Therapeutics, Inc.	TGTX
Tower Semiconductor Ltd.	TSEM
Travelzoo Inc.	TZOO
TriCo Bancshares	тсвк
TriMas Corp.	TRS
Trustmark Corporation	TRMK
TTM Technologies Inc.	ттмі
United Parks & Resorts Inc., fka,	PRKS/
SeaWorld Entertainment, Inc.	SEAS

Universal Display Corp.	OLED
UWM Holdings Corp	UWMC
Vale SA	VALE
Veracyte, Inc.	VCYT
Viad Corp.	VVI
Vicor Corp.	VICR
Vornado Realty Trust	VNO
Winnebago Industries, Inc.	WGO
Wintrust Financial Corporation	WTFC
Xperi, Inc.	XPER

Important: The SEC reminds us that its assertion of the law enforcement exemption should not be construed as an indication by the Commission or its staff that any violations of law have occurred with respect to any person, entity, or security.

The data reflected in this report are only current as of the dates indicated for each individual company. SEC investigative activity could theoretically begin or end after the date covered by the latest information in this report which would not be reflected here. Similarly, public company activities and/or disclosures made since the dates indicated herein could impact your analysis. Companies with undisclosed SEC investigations are maintained on our Watch List of companies with undisclosed SEC investigations. Additional disclosures and information appear at the end of this report.

To learn more about our research process, including how to best use this information in your own decision-making, click here.

Our Terms of Service, relevant disclosures, and other legal notices can be found here.





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Updates on SEC Investigative Activity for US-Listed Companies

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Market data as of the close on Friday, 15-Mar-2024

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NEW	= A new warning. NF = Noth	ing Found	l. Update or	Alert = New	information.	Docs = SE	C documents received. Removed = Off Watch List.
	Company Name	Ticker	Date of Response	Response Type	Price	Mkt Cap \$MM	Analyst Notes / Observations
NF	10x Genomics Inc.	TXG	22-Feb-2024	FOIA Response	\$36.33	4,331	No recent SEC investigative activity found.
NF	ABM Industries Inc.	ABM	20-Feb-2024	FOIA Response	\$42.31	2,678	No recent SEC investigative activity found.
NF	Adicet Bio, Inc.	ACET	23-Jan-2024	FOIA Response	\$2.00	140	No recent SEC investigative activity found.
NF	Agenus, Inc.	AGEN	25-Jan-2024	FOIA Response	\$0.54	206	No recent SEC investigative activity found.
NF	Agilent Technologies Inc.	Α	31-Jan-2024	FOIA Response	\$147.48	43,220	No recent SEC investigative activity found.
NF	Air Lease Corporation	AL	7-Feb-2024	FOIA Response	\$47.13	5,233	No recent SEC investigative activity found.
NF	Alexandria Real Estate Equities, Inc.	ARE	2-Feb-2024	FOIA Response	\$123.75	21,649	No recent SEC investigative activity found.
NF	Alkermes Plc	ALKS	20-Feb-2024	FOIA Response	\$28.75	4,803	No recent SEC investigative activity found.
NF	Ambac Financial Group, Inc.	AMBC	2-Feb-2024	FOIA Response	\$15.04	680	No recent SEC investigative activity found.
NF	American Homes 4 Rent	AMH	22-Feb-2024	FOIA Response	\$36.28	13,283	No recent SEC investigative activity found.
NF	American Water Works Company, Inc.	AWK	30-Jan-2024	FOIA Response	\$117.14	22,814	No recent SEC investigative activity found.
NF	Ametek, Inc.	AME	7-Feb-2024	FOIA Response	\$181.52	41,933	No recent SEC investigative activity found.
NF	Anika Therapeutics, Inc.	ANIK	15-Feb-2024	FOIA Response	\$25.44	373	No recent SEC investigative activity found.
NF	Apartment Investment & Management Co.	AIV	24-Jan-2024	FOIA Response	\$7.44	4,592	No recent SEC investigative activity found.
NF	Apellis Pharmaceuticals, Inc.	APLS	25-Jan-2024	FOIA Response	\$56.74	6,842	No recent SEC investigative activity found.

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Updates on SEC Investigative Activity for US-Listed Companies

NEW	= A new warning. NF = Nothi	ng Found	. Update or	Alert = New	information.	Docs = SE	C documents received. Removed = Off Watch List.
	Company Name	Ticker	Date of Response	Response Type	Price	Mkt Cap \$MM	Analyst Notes / Observations
NF	Appian Corp.	APPN	24-Jan-2024	FOIA Response	\$36.19	2,654	No recent SEC investigative activity found.
NF	Applovin Corporation	APP	25-Jan-2024	FOIA Response	\$63.13	21,590	No recent SEC investigative activity found.
NF	Arthur J Gallagher & Co.	AJG	24-Jan-2024	FOIA Response	\$253.17	54,887	No recent SEC investigative activity found.
NF	Autolus Therapeutics plc.	AUTL	9-Feb-2024	FOIA Response	\$5.82	1,352	No recent SEC investigative activity found.
NF	AvalonBay Communities, Inc.	AVB	30-Jan-2024	FOIA Response	\$183.82	26,107	No recent SEC investigative activity found.
NF	Avery Dennison Corporation	AVY	9-Feb-2024	FOIA Response	\$215.68	17,367	No recent SEC investigative activity found.
NF	Axis Capital Holdings Limited	AXS	5-Feb-2024	FOIA Response	\$63.16	5,334	No recent SEC investigative activity found.
NF	Barnes & Noble Education, Inc.	BNED	1-Feb-2024	FOIA Response	\$0.62	33	No recent SEC investigative activity found.
NF	Berkshire Hills Bancorp, Inc.	BHLB	31-Jan-2024	FOIA Response	\$21.94	957	No recent SEC investigative activity found.
NF	BJ's Restaurants, Inc.	BJRI	25-Jan-2024	FOIA Response	\$35.07	819	No recent SEC investigative activity found.
NF	Boston Properties, Inc.	ВХР	25-Jan-2024	FOIA Response	\$62.60	9,829	No recent SEC investigative activity found.
NF	Brandywine Realty Trust	BDN	21-Feb-2024	FOIA Response	\$4.37	753	No recent SEC investigative activity found.
NF	Brookdale Senior Living Inc.	BKD	5-Feb-2024	FOIA Response	\$6.00	1,136	No recent SEC investigative activity found.
NF	Brown & Brown, Inc.	BRO	14-Feb-2024	FOIA Response	\$84.94	24,276	No recent SEC investigative activity found.
NF	Cadence Design Systems, Inc.	CDNS	16-Feb-2024	FOIA Response	\$298.44	81,247	No recent SEC investigative activity found.





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	Company Name	Ticker	Date of Response	Response Type	Price	Mkt Cap \$MM	Analyst Notes / Observations
NF	Callon Petroleum Company	СРЕ	29-Jan-2024	FOIA Response	\$33.56	2,232	No recent SEC investigative activity found.
NF	Camden Property Trust	СРТ	21-Feb-2024	FOIA Response	\$98.49	10,535	No recent SEC investigative activity found.
NF	Campbell Soup Co	СРВ	16-Feb-2024	FOIA Response	\$42.71	12,732	No recent SEC investigative activity found.
NF	Canadian Natural Resources Limited	CNQ	16-Feb-2024	FOIA Response	\$72.60	78,131	No recent SEC investigative activity found.
NF	Cannae Holdings Inc.	CNNE	31-Jan-2024	FOIA Response	\$21.96	1,592	No recent SEC investigative activity found.
NF	Cardlytics Inc.	CDLX	25-Jan-2024	FOIA Response	\$14.50	569	No recent SEC investigative activity found.
NF	CECO Environmental Corp.	CECO	21-Feb-2024	FOIA Response	\$21.32	1,500	No recent SEC investigative activity found.
NF	Central Pacific Financial Corp.	CPF	23-Jan-2024	FOIA Response	\$18.68	505	No recent SEC investigative activity found.
NF	Chatham Lodging Trust	CLDT	31-Jan-2024	FOIA Response	\$10.04	491	No recent SEC investigative activity found.
NF	Chord Energy Corp.	CHRD	24-Jan-2024	FOIA Response	\$165.95	6,877	No recent SEC investigative activity found.
NF	Ciena Corp.	CIEN	14-Feb-2024	FOIA Response	\$50.02	7,233	No recent SEC investigative activity found.
NF	Clarivate PLC	CLVT	13-Feb-2024	FOIA Response	\$7.06	4,704	No recent SEC investigative activity found.
NF	Clean Harbors, Inc.	CLH	29-Jan-2024	FOIA Response	\$187.84	10,132	No recent SEC investigative activity found.
NF	Coherent Corp.	COHR	13-Feb-2024	FOIA Response	\$58.76	8,921	No recent SEC investigative activity found.
NF	Community Health Systems	СҮН	21-Feb-2024	FOIA Response	\$2.78	380	No recent SEC investigative activity found.





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	Company Name	Ticker	Date of Response	Response Type	Price	Mkt Cap \$MM	Analyst Notes / Observations
NF	Conmed Corporation	CNMD	29-Jan-2024	FOIA Response	\$77.44	2,384	No recent SEC investigative activity found.
NF	Consolidated Edison, Inc.	ED	20-Feb-2024	FOIA Response	\$88.94	30,730	No recent SEC investigative activity found.
NF	Constellation Brands, Inc.	STZ	1-Feb-2024	FOIA Response	\$267.64	48,924	No recent SEC investigative activity found.
NF	Core Laboratories NV	CLB	7-Feb-2024	FOIA Response	\$16.49	773	No recent SEC investigative activity found.
NF	CoreCivic Inc.	схw	5-Feb-2024	FOIA Response	\$14.85	1,672	No recent SEC investigative activity found.
NF	Cracker Barrel Old Countr Store, Inc.	Y CBRL	6-Feb-2024	FOIA Response	\$67.27	1,493	No recent SEC investigative activity found.
NF	CSW Industrials, Inc.	CSWI	25-Jan-2024	FOIA Response	\$230.08	3,582	No recent SEC investigative activity found.
NF	Cullen-Frost Bankers, Inc.	CFR	5-Feb-2024	FOIA Response	\$106.02	6,808	No recent SEC investigative activity found.
NF	Cummins, Inc.	СМІ	16-Feb-2024	FOIA Response	\$1.44	61	No recent SEC investigative activity found.
NF	Darling International Inc.	DAR	31-Jan-2024	FOIA Response	\$44.44	7,093	No recent SEC investigative activity found.
NF	Deckers Outdoor Corp	DECK	6-Feb-2024	FOIA Response	\$909.80	23,353	No recent SEC investigative activity found.
NF	Devon Energy Corporation	n DVN	15-Feb-2024	FOIA Response	\$47.62	30,239	No recent SEC investigative activity found.
NF	Dollar General Corporatio	n DG	15-Feb-2024	FOIA Response	\$151.95	33,353	No recent SEC investigative activity found.
NF	DoorDash Inc.	DASH	22-Jan-2024	FOIA Response	\$130.55	52,759	No recent SEC investigative activity found.
NF	Dril-Quip, Inc.	DRQ	23-Jan-2024	FOIA Response	\$23.96	825	No recent SEC investigative activity found.





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NF	Dynatrace Inc.	DT	26-Jan-2024	FOIA Response	\$45.61	13,501	No recent SEC investigative activity found.
NF	Ecolab, Inc.	ECL	12-Feb-2024	FOIA Response	\$226.70	64,726	No recent SEC investigative activity found.
NF	Edison International	EIX	15-Feb-2024	FOIA Response	\$68.80	26,455	No recent SEC investigative activity found.
NF	EnLink Midstream LLC	ENLC	23-Jan-2024	FOIA Response	\$12.95	5,869	No recent SEC investigative activity found.
NF	Equifax, Inc.	EFX	22-Jan-2024	FOIA Response	\$252.94	31,354	No recent SEC investigative activity found.
NF	Equity Residential	EQR	29-Jan-2024	FOIA Response	\$63.03	23,923	No recent SEC investigative activity found.
NF	Erie Indemnity Company	ERIE	6-Feb-2024	FOIA Response	\$416.79	21,757	No recent SEC investigative activity found.
NF	Essential Utilities Inc.	WTRG	22-Feb-2024	FOIA Response	\$36.00	9,839	No recent SEC investigative activity found.
NF	Establishment Labs Holdings Inc.	ESTA	7-Feb-2024	FOIA Response	\$49.00	1,336	No recent SEC investigative activity found.
NF	Etsy Inc.	ETSY	2-Feb-2024	FOIA Response	\$66.26	7,851	No recent SEC investigative activity found.
NF	ExlService Holdings, Inc.	EXLS	7-Feb-2024	FOIA Response	\$29.85	4,942	No recent SEC investigative activity found.
NF	Expeditors International of Washington Inc.	EXPD	23-Jan-2024	FOIA Response	\$119.86	17,248	No recent SEC investigative activity found.
NF	Extra Space Storage Inc.	EXR	25-Jan-2024	FOIA Response	\$139.33	29,479	No recent SEC investigative activity found.
NF	Ferroglobe PLC	GSM	1-Feb-2024	FOIA Response	\$4.47	836	No recent SEC investigative activity found.
NF	FibroGen Inc.	FGEN	2-Feb-2024	FOIA Response	\$2.09	205	No recent SEC investigative activity found.





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NF	Fidelity National Financial, Inc.	FNF	29-Jan-2024	FOIA Response	\$49.28	13,464	No recent SEC investigative activity found.
NF	Fiserv, Inc.	FISV	23-Jan-2024	FOIA Response	\$137.95	88,342	No recent SEC investigative activity found.
NF	Flowserve Corp.	FLS	29-Jan-2024	FOIA Response	\$44.24	5,806	No recent SEC investigative activity found.
NF	Flushing Financial Corp.	FFIC	23-Jan-2024	FOIA Response	\$11.94	345	No recent SEC investigative activity found.
NF	FNB Corporation	FNB	9-Feb-2024	FOIA Response	\$13.31	4,778	No recent SEC investigative activity found.
NF	Foreign Trade Bank of Latin America, Inc.	BLX	1-Feb-2024	FOIA Response	\$28.23	1,032	No recent SEC investigative activity found.
NF	FormFactor, Inc.	FORM	14-Feb-2024	FOIA Response	\$42.64	3,309	No recent SEC investigative activity found.
NF	Fox Corporation	FOX	25-Jan-2024	FOIA Response	\$26.89	13,413	No recent SEC investigative activity found.
NF	Franklin Street Properties Corp.	FSP	26-Jan-2024	FOIA Response	\$2.17	224	No recent SEC investigative activity found.
NF	Fulton Financial Corporation	FULT	12-Feb-2024	FOIA Response	\$14.92	2,417	No recent SEC investigative activity found.
NF	Generac Holdings, Inc.	GNRC	7-Feb-2024	FOIA Response	\$111.88	6,743	No recent SEC investigative activity found.
NF	Genpact Ltd.	G	31-Jan-2024	FOIA Response	\$33.12	5,986	No recent SEC investigative activity found.
NF	Gentherm Inc.	THRM	21-Feb-2024	FOIA Response	\$54.25	1,710	No recent SEC investigative activity found.
NF	Geospace Technologies Corp.	GEOS	25-Jan-2024	FOIA Response	\$12.49	167	No recent SEC investigative activity found.
NF	German American Bancorp, Inc.	GABC	25-Jan-2024	FOIA Response	\$32.00	946	No recent SEC investigative activity found.





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Updates on SEC Investigative Activity for US-Listed Companies

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	Company Name	Ticker	Date of Response	Response Type	Price	Mkt Cap \$MM	Analyst Notes / Observations
NF	Glacier Bancorp, Inc.	GBCI	24-Jan-2024	FOIA Response	\$37.90	4,297	No recent SEC investigative activity found.
NF	Glatfelter Corp.	GLT	25-Jan-2024	FOIA Response	\$1.77	80	No recent SEC investigative activity found.
NF	Global Indemnity PLC.	GBLI	29-Jan-2024	FOIA Response	\$29.95	406	No recent SEC investigative activity found.
NF	Global Net Lease Inc.	GNL	26-Jan-2024	FOIA Response	\$7.55	1,739	No recent SEC investigative activity found.
NF	Global Payments Inc.	GPN	13-Feb-2024	FOIA Response	\$129.88	33,507	No recent SEC investigative activity found.
NF	Globant SA	GLOB	29-Jan-2024	FOIA Response	\$216.59	9,332	No recent SEC investigative activity found.
NF	Golar LNG Ltd.	GLNG	29-Jan-2024	FOIA Response	\$23.54	2,467	No recent SEC investigative activity found.
NF	Groupon, Inc.	GRPN	30-Jan-2024	FOIA Response	\$12.59	402	No recent SEC investigative activity found.
NF	Guardant Health, Inc.	GH	6-Feb-2024	FOIA Response	\$17.74	2,159	No recent SEC investigative activity found.
NF	Hanesbrands, Inc.	НВІ	7-Feb-2024	FOIA Response	\$5.68	1,997	No recent SEC investigative activity found.
NF	Harley-Davidson, Inc.	HOG	20-Feb-2024	FOIA Response	\$41.00	5,599	No recent SEC investigative activity found.
NF	Hartford Financial Servio Group, Inc., The	ces HIG	22-Feb-2024	FOIA Response	\$99.07	29,459	No recent SEC investigative activity found.
NF	Haynes International, In	c. HAYN	14-Feb-2024	FOIA Response	\$59.40	760	No recent SEC investigative activity found.
NF	Helmerich & Payne Inc.	НР	25-Jan-2024	FOIA Response	\$40.13	3,966	No recent SEC investigative activity found.
NF	Houlihan Lokey Inc.	ны	7-Feb-2024	FOIA Response	\$124.27	8,589	No recent SEC investigative activity found.





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NF	ICF International Inc.	ICFI	29-Jan-2024	FOIA Response	\$153.16	2,868	No recent SEC investigative activity found.
NF	Imperial Oil Ltd.	IMO	23-Jan-2024	FOIA Response	\$67.38	48,954	No recent SEC investigative activity found.
NF	Ingersoll-Rand Pic	IR	2-Feb-2024	FOIA Response	\$90.47	36,499	No recent SEC investigative activity found.
NF	Insperity, Inc.	NSP	24-Jan-2024	FOIA Response	\$98.41	3,670	No recent SEC investigative activity found.
NF	Interpid Potash, Inc.	IPI	26-Jan-2024	FOIA Response	\$19.37	255	No recent SEC investigative activity found.
NF	Intra-Cellular Therapies Inc.	ΙΤCΙ	16-Feb-2024	FOIA Response	\$64.78	6,271	No recent SEC investigative activity found.
NF	Ironwood Pharmaceuticals, Inc.	IRWD	25-Jan-2024	FOIA Response	\$9.02	1,410	No recent SEC investigative activity found.
NF	ITT, Inc.	ITT	12-Feb-2024	FOIA Response	\$127.00	10,427	No recent SEC investigative activity found.
NF	Jack In The Box Inc.	JACK	29-Jan-2024	FOIA Response	\$73.35	1,433	No recent SEC investigative activity found.
NF	JetBlue Airways Corp.	JBLU	8-Feb-2024	FOIA Response	\$7.04	2,382	No recent SEC investigative activity found.
NF	KB Home Inc.	КВН	2-Feb-2024	FOIA Response	\$67.90	5,136	No recent SEC investigative activity found.
NF	KBR, Inc.	KBR	5-Feb-2024	FOIA Response	\$60.26	8,139	No recent SEC investigative activity found.
NF	Knight-Swift Transportation Holdings Inc.	KNX	6-Feb-2024	FOIA Response	\$54.14	8,743	No recent SEC investigative activity found.
NF	Kosmos Energy Ltd.	коѕ	9-Feb-2024	FOIA Response	\$5.70	2,688	No recent SEC investigative activity found.
NF	Lakeland Bancorp, Inc.	LBAI	14-Feb-2024	FOIA Response	\$11.85	771	No recent SEC investigative activity found.





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	Company Name	Ticker	Date of Response	Response Type	Price	Mkt Cap \$MM	Analyst Notes / Observations
NF	Lam Research Corporation	LRCX	22-Feb-2024	FOIA Response	\$910.09	119,297	No recent SEC investigative activity found.
NF	Leggett & Platt, Inc.	LEG	13-Feb-2024	FOIA Response	\$18.64	2,493	No recent SEC investigative activity found.
NF	Lifetime Brands, Inc.	LCUT	25-Jan-2024	FOIA Response	\$9.95	217	No recent SEC investigative activity found.
NF	Lifeway Foods Inc.	LWAY	21-Feb-2024	FOIA Response	\$10.99	161	No recent SEC investigative activity found.
NF	Lincoln Electric Holdings Inc.	LECO	31-Jan-2024	FOIA Response	\$248.41	14,095	No recent SEC investigative activity found.
NF	LKQ Corp.	LKQ	23-Jan-2024	FOIA Response	\$51.51	13,733	No recent SEC investigative activity found.
NF	Loews Corporation	L	5-Feb-2024	FOIA Response	\$76.97	17,103	No recent SEC investigative activity found.
NF	LSI Industries Inc.	LYTS	6-Feb-2024	FOIA Response	\$14.30	416	No recent SEC investigative activity found.
NF	Macerich Co.	MAC	16-Feb-2024	FOIA Response	\$16.13	3,480	No recent SEC investigative activity found.
NF	Maiden Holdings Ltd.	MHLD	24-Jan-2024	FOIA Response	\$1.54	155	No recent SEC investigative activity found.
NF	Manitowoc Company, Inc., The	MTW	20-Feb-2024	FOIA Response	\$13.02	457	No recent SEC investigative activity found.
NF	MannKind Corp.	MNKD	22-Feb-2024	FOIA Response	\$4.47	1,209	No recent SEC investigative activity found.
NF	ManpowerGroup, Inc.	MAN	21-Feb-2024	FOIA Response	\$72.81	3,523	No recent SEC investigative activity found.
NF	Mercury General Corporation	МСҮ	23-Jan-2024	FOIA Response	\$48.55	2,688	No recent SEC investigative activity found.
NF	Mettler-Toledo International Inc.	MTD	13-Feb-2024	FOIA Response	\$1,324.45	28,448	No recent SEC investigative activity found.





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Updates on SEC Investigative Activity for US-Listed Companies

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Market data as of the close on Friday, 15-Mar-2024 _

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	Company Name	Ticker	Date of Response	Response Type	Price	Mkt Cap \$MM	Analyst Notes / Observations
NF	Minerals Technologies, Inc.	мтх	15-Feb-2024	FOIA Response	\$72.45	2,345	No recent SEC investigative activity found.
NF	Monster Beverage Corporation	MNST	16-Feb-2024	FOIA Response	\$60.45	62,886	No recent SEC investigative activity found.
NF	MSA Safety Inc.	MSA	9-Feb-2024	FOIA Response	\$183.08	7,198	No recent SEC investigative activity found.
NF	National Fuel Gas Co.	NFG	14-Feb-2024	FOIA Response	\$51.33	4,729	No recent SEC investigative activity found.
NF	National Retail Properties, Inc.	NNN	31-Jan-2024	FOIA Response	\$41.46	7,565	No recent SEC investigative activity found.
NF	Nelnet Inc.	NNI	24-Jan-2024	FOIA Response	\$87.90	3,256	No recent SEC investigative activity found.
NF	New York Times Co., The	NYT	5-Feb-2024	FOIA Response	\$43.61	7,172	No recent SEC investigative activity found.
NF	NewMarket Corporation	NEU	20-Feb-2024	FOIA Response	\$622.74	5,972	No recent SEC investigative activity found.
NF	News Corp.	NWSA	21-Feb-2024	FOIA Response	\$25.51	14,739	No recent SEC investigative activity found.
NF	Nike, Inc.	NKE	15-Feb-2024	FOIA Response	\$99.64	150,967	No recent SEC investigative activity found.
NF	Northwest Natural Gas Co.	NWN	21-Feb-2024	FOIA Response	\$36.35	1,370	No recent SEC investigative activity found.
NF	NXP Semiconductors NV	NXPI	23-Jan-2024	FOIA Response	\$237.54	60,912	No recent SEC investigative activity found.
NF	Oatly Group A.B.	OTLY	2-Feb-2024	FOIA Response	\$0.99	588	No recent SEC investigative activity found.
NF	OGE Energy Corp.	OGE	22-Feb-2024	FOIA Response	\$33.52	6,715	No recent SEC investigative activity found.
NF	Oil States International Inc.	OIS	21-Feb-2024	FOIA Response	\$5.95	378	No recent SEC investigative activity found.





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Updates on SEC Investigative Activity for US-Listed Companies

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NF	Ollie's Bargain Outlet Holdings Inc.	OLLI	13-Feb-2024	FOIA Response	\$74.00	4,557	No recent SEC investigative activity found.
NF	ONEOK Inc.	OKE	31-Jan-2024	FOIA Response	\$77.00	44,903	No recent SEC investigative activity found.
NF	Openlane, Inc.	KAR	6-Feb-2024	FOIA Response	\$15.99	1,728	No recent SEC investigative activity found.
NF	Pacific Premier Bancorp Inc.	PPBI	21-Feb-2024	FOIA Response	\$22.61	2,165	No recent SEC investigative activity found.
NF	Pacira BioSciences Inc.	PCRX	25-Jan-2024	FOIA Response	\$29.41	1,366	No recent SEC investigative activity found.
NF	Packaging Corporation of America	PKG	2-Feb-2024	FOIA Response	\$182.91	16,393	No recent SEC investigative activity found.
NF	PBF Energy, Inc.	PBF	1-Feb-2024	FOIA Response	\$56.65	6,773	No recent SEC investigative activity found.
NF	Pediatrix Medical Group, Inc.	MD	22-Jan-2024	FOIA Response	\$9.48	798	No recent SEC investigative activity found.
NF	Piedmont Office Realty Trust Inc.	PDM	21-Feb-2024	FOIA Response	\$6.32	782	No recent SEC investigative activity found.
NF	PJT Partners Inc.	PJT	31-Jan-2024	FOIA Response	\$96.63	2,311	No recent SEC investigative activity found.
NF	Proto Labs Inc.	PRLB	8-Feb-2024	FOIA Response	\$34.09	877	No recent SEC investigative activity found.
NF	PTC Inc.	РТС	15-Feb-2024	FOIA Response	\$181.81	21,736	No recent SEC investigative activity found.
NF	Radware, Ltd.	RDWR	7-Feb-2024	FOIA Response	\$19.55	823	No recent SEC investigative activity found.
NF	Rambus, Inc.	RMBS	21-Feb-2024	FOIA Response	\$60.55	6,574	No recent SEC investigative activity found.
NF	Red Robin Gourmet Burgers, Inc.	RRGB	16-Feb-2024	FOIA Response	\$6.44	100	No recent SEC investigative activity found.





Market data as of the close on Friday, 15-Mar-2024

No recent SEC investigative activity found.

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NF	Regis Corporation	RGS	23-Jan-2024	FOIA Response	\$7.80	18	No recent SEC investigative activity found.
NF	Reinsurance Group of America Inc.	RGA	2-Feb-2024	FOIA Response	\$185.28	12,184	No recent SEC investigative activity found.
NF	Repligen Corporation	RGEN	13-Feb-2024	FOIA Response	\$189.93	10,594	No recent SEC investigative activity found.
NF	Replimune Group, Inc.	REPL	13-Feb-2024	FOIA Response	\$8.53	524	No recent SEC investigative activity found.
NF	Royal Gold Inc.	RGLD	16-Feb-2024	FOIA Response	\$111.54	7,327	No recent SEC investigative activity found.
NF	RPC, Inc.	RES	13-Feb-2024	FOIA Response	\$7.62	1,642	No recent SEC investigative activity found.
NF	Safety Insurance Group, Inc.	SAFT	7-Feb-2024	FOIA Response	\$82.43	1,221	No recent SEC investigative activity found.
NF	Select Medical Holdings Corp.	SEM	29-Jan-2024	FOIA Response	\$28.47	3,655	No recent SEC investigative activity found.
NF	Sempra	SRE	14-Feb-2024	FOIA Response	\$70.01	44,257	No recent SEC investigative activity found.
NF	Silgan Holdings Inc.	SLGN	5-Feb-2024	FOIA Response	\$44.52	4,741	No recent SEC investigative activity found.
NF	Sinclair Broadcast Group Inc.	SBGI	26-Jan-2024	FOIA Response	\$12.76	812	No recent SEC investigative activity found.
NF	Starwood Property Trust, Inc.	STWD	25-Jan-2024	FOIA Response	\$20.11	6,302	No recent SEC investigative activity found.
NF	Steelcase, Inc.	SCS	16-Feb-2024	FOIA Response	\$12.78	1,460	No recent SEC investigative activity found.
NF	Super Micro Computer, Inc.	SMCI	13-Feb-2024	FOIA Response	\$1,068.83	59,783	No recent SEC investigative activity found.

SUPN

7-Feb-2024

Supernus Pharmaceuticals

NF

\$32.98

FOIA Response

1,804





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NF	Taylor Morrison Home Corporation	тмнс	9-Feb-2024	FOIA Response	\$56.46	6,009	No recent SEC investigative activity found.
NF	Textainer Group Holdings Limited	тдн	23-Jan-2024	FOIA Response	\$49.99	2,068	No recent SEC investigative activity found.
NF	TG Therapeutics, Inc.	TGTX	22-Jan-2024	FOIA Response	\$15.22	2,349	No recent SEC investigative activity found.
NF	Tower Semiconductor Ltd.	TSEM	5-Feb-2024	FOIA Response	\$30.98	3,433	No recent SEC investigative activity found.
NF	Travelzoo Inc.	TZOO	25-Jan-2024	FOIA Response	\$10.16	142	No recent SEC investigative activity found.
NF	TriCo Bancshares	тсвк	13-Feb-2024	FOIA Response	\$34.49	1,148	No recent SEC investigative activity found.
NF	TriMas Corp.	TRS	29-Jan-2024	FOIA Response	\$24.92	1,022	No recent SEC investigative activity found.
NF	Trustmark Corporation	TRMK	13-Feb-2024	FOIA Response	\$25.92	1,581	No recent SEC investigative activity found.
NF	TTM Technologies Inc.	ттмі	15-Feb-2024	FOIA Response	\$14.08	1,434	No recent SEC investigative activity found.
NF	United Parks & Resorts Inc., fka, SeaWorld Entertainment, Inc.	PRKS/ SEAS	16-Feb-2024	FOIA Response	\$51.34	3,300	No recent SEC investigative activity found.
NF	Universal Display Corp.	OLED	12-Feb-2024	FOIA Response	\$159.62	7,562	No recent SEC investigative activity found.
NF	UWM Holdings Corp	UWMC	26-Jan-2024	FOIA Response	\$6.74	10,761	No recent SEC investigative activity found.
NF	Vale SA	VALE	2-Feb-2024	FOIA Response	\$11.96	271,251	No recent SEC investigative activity found.
NF	Veracyte, Inc.	VCYT	13-Feb-2024	FOIA Response	\$21.10	1,632	No recent SEC investigative activity found.
NF	Viad Corp.	VVI	9-Feb-2024	FOIA Response	\$36.70	772	No recent SEC investigative activity found.





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NF	Vicor Corp.	VICR	14-Feb-2024	FOIA Response	\$36.07	1,605	No recent SEC investigative activity found.
NF	Vornado Realty Trust	VNO	5-Feb-2024	FOIA Response	\$25.47	4,849	No recent SEC investigative activity found.
NF	Winnebago Industries, Inc	WGO	14-Feb-2024	FOIA Response	\$64.87	1,897	No recent SEC investigative activity found.
NF	Wintrust Financial Corporation	WTFC	5-Feb-2024	FOIA Response	\$97.07	5,967	No recent SEC investigative activity found.
NF	Xperi, Inc.	XPER	21-Feb-2024	FOIA Response	\$10.90	483	No recent SEC investigative activity found.
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