

Updates on SEC Investigative Activity for 129 US-Listed Companies

Agenus, Inc.	AGEN
Air Lease Corporation	AL
American Homes 4 Rent	AMH
Ametek, Inc.	AME
Amneal Pharmaceuticals Inc.	AMRX
AO Smith Corp.	AOS
Appian Corp.	APPN
Archrock, Inc.	AROC
Automatic Data Processing, Inc.	ADP
Avantor Inc.	AVTR
Barnes & Noble Education, Inc.	BNED
Bath & Body Works, Inc.	BBWI
Box Inc.	BOX
Canadian Pacific Railway Ltd.	CP
Caretrust REIT Inc.	CTRE
Cavco Industries, Inc.	CVCO
Celanese Corporation	CE
Chord Energy Corporation	CHRD
Chuy's Holdings Inc.	CHUY
Cincinnati Financial Corp.	CINF
Clarivate PLC	CLVT
Clean Harbors, Inc.	CLH
Cognex Corporation	CGNX
Commercial Metals Company	CMC
Comstock Resources Inc.	CRK

Dentsply Sirona, Inc.	XRAY
Devon Energy Corporation	DVN
DoorDash Inc.	DASH
Dril-Quip, Inc.	DRQ
Dun & Bradstreet Corp.	DNB
Dynatrace Inc.	DT
Eagle Pharmaceuticals, Inc.	EGRX
Elanco Animal Health Inc.	ELAN
Electronic Arts, Inc.	EA
Energizer Holdings, Inc.	ENR
Envista Holdings Corp.	NVST
Equifax, Inc.	EFX
Ethan Allen Interiors, Inc.	ETH
Exelixis, Inc.	EXEL
Ferroglobe PLC	GSM
Five Below Inc.	FIVE
Flowserve Corp.	FLS
Foreign Trade Bank of Latin America, Inc.	BLX
Fox Corporation	FOX
Franklin Street Properties Corp.	FSP
Genesco Inc.	GCO
Genpact Ltd.	G
Geospace Technologies Corp.	GEOS
German American Bancorp, Inc.	GABC
Global Net Lease Inc.	GNL

Great Southern Bancorp, Inc.	GSBC
Green Dot Corporation	GDOT
Guess Inc.	GES
Guidewire Software, Inc.	GWRE
Gulf Island Fabrication Inc.	GIFI
Henry Schein, Inc.	HSIC
Houlihan Lokey Inc.	HLI
Huntsman Corporation	HUN
ICF International Inc.	ICFI
IMAX Corp.	IMAX
Ingersoll-Rand Plc	IR
Ironwood Pharmaceuticals, Inc.	IRWD
Itron, Inc.	ITRI
JetBlue Airways Corp.	JBLU
Mercury General Corporation	MCY
Mr. Cooper Group, Inc.	COOP
National Western Life Group	NWLI
Natus Medical Inc.	BABY
Northern Oil & Gas Inc.	NOG
Northern Trust Corporation	NTRS
Novanta, Inc.	NOVT
Novocure, Ltd.	NVCR
ONEOK Inc.	OKE
Otter Tail Corp.	OTTR
Par Pacific Holdings Inc.	PARR

Pediatrix Medical Group Inc.	MD
PJT Partners Inc.	PJT
PNM Resources, Inc.	PNM
Progress Software Corp.	PRGS
Propetro Holding Corp.	PUMP
Prospect Capital Corp.	PSEC
Provident Financial Services Inc.	PFS
Quanta Services, Inc.	PWR
Quotient Technology Inc.	QUOT
Rayonier, Inc.	RYN
Re/Max Holdings, Inc.	RMAX
Regis Corporation	RGS
RingCentral Inc.	RNG
Rithm Capital Corp.	RITM
RMR Group Inc., The	RMR
RPC, Inc.	RES
Sandy Spring Bancorp, Inc.	SASR
Sensient Technologies Corp	SXT

SFL Corporation Ltd.	SFL
Sinclair Broadcast Group Inc.	SBGI
Sirius XM Holdings Inc.	SIRI
SiteOne Landscape Supply Inc.	SITE
Snap-On Inc.	SNA
SP Plus Corporation	SP
SpartanNash Company	SPTN
Spectrum Pharmaceuticals, Inc.	SPPI
Sprouts Farmers Market, Inc.	SFM
Steris Corp.	STE
Supernus Pharmaceuticals Inc.	SUPN
Tejon Ranch Company	TRC
Tetra Technologies, Inc.	TTI
Textainer Group Holdings	TGH
Thermon Group Holdings, Inc.	THR
Tompkins Financial Corp.	TMP
Travelzoo Inc.	TZOO
Trico Bancshares	TCBK

TriMas Corp.	TRS
Triumph Group Inc.	TGI
Tyler Technologies, Inc.	TYL
United Airlines Holdings Inc.	UAL
United States Lime & Minerals	USLM
Universal Corp.	UVV
Urstadt Buddle Properties Inc.	UBA
ViaSat Inc.	VSAT
Viavi Solutions Inc.	VIAV
Vipshop Holdings Ltd. - ADR	VIPS
Vontier Corp.	VNT
Waters Corporation	WAT
Wave Life Sciences Ltd.	WVE
Webster Financial Corp.	WBS
Werner Enterprises, Inc.	WERN
Winnebago Industries, Inc.	WGO
Worthington Industries, Inc.	WOR
Xencor Inc.	XNCR

Telephone: 763-595-0900 (USA)

Email: direports@disclosureinsight.com
www.disclosureinsight.com

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May 24, 2023

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Market data as of the close on Wednesday, 24-May-2023

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	Company Name	Ticker	Date of Response	Response Type	Price	Mkt Cap \$MM	Analyst Notes / Observations
NF	Agenus, Inc.	AGEN	25-Apr-2023	FOIA Response	\$1.40	488	No recent SEC investigative activity found.
NF	Air Lease Corporation	AL	20-Apr-2023	FOIA Response	\$38.22	4,243	No recent SEC investigative activity found.
NF	American Homes 4 Rent	AMH	17-Apr-2023	FOIA Response	\$33.15	11,993	No recent SEC investigative activity found.
NF	Ametek, Inc.	AME	20-Apr-2023	FOIA Response	\$144.26	33,248	No recent SEC investigative activity found.
NF	Amneal Pharmaceuticals Inc.	AMRX	18-Apr-2023	FOIA Response	\$2.28	696	No recent SEC investigative activity found.
NF	AO Smith Corp.	AOS	24-Apr-2023	FOIA Response	\$66.35	9,982	No recent SEC investigative activity found.
NF	Appian Corp.	APPN	19-Apr-2023	FOIA Response	\$40.91	2,985	No recent SEC investigative activity found.
NF	Archrock, Inc.	AROC	24-Apr-2023	FOIA Response	\$9.39	1,471	No recent SEC investigative activity found.
NF	Automatic Data Processing, Inc.	ADP	18-Apr-2023	FOIA Response	\$214.00	88,408	No recent SEC investigative activity found.
NF	Avantor Inc.	AVTR	14-Apr-2023	FOIA Response	\$20.41	13,779	No recent SEC investigative activity found.
NF	Barnes & Noble Education, Inc.	BNED	18-Apr-2023	FOIA Response	\$1.42	75	No recent SEC investigative activity found.
NF	Bath & Body Works, Inc.	BBWI	18-Apr-2023	FOIA Response	\$38.23	8,753	No recent SEC investigative activity found.
NF	Box Inc.	BOX	25-Apr-2023	FOIA Response	\$27.16	3,934	No recent SEC investigative activity found.
NF	Canadian Pacific Railway Ltd.	CP	24-Apr-2023	FOIA Response	\$76.78	97,193	No recent SEC investigative activity found.



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NF	Caretrust REIT Inc.	CTRE	17-Apr-2023	FOIA Response	\$18.76	1,866	No recent SEC investigative activity found.
NF	Cavco Industries, Inc.	CVCO	12-Apr-2023	FOIA Response	\$277.51	2,405	No recent SEC investigative activity found.
NF	Celanese Corporation	CE	19-Apr-2023	FOIA Response	\$106.90	11,629	No recent SEC investigative activity found.
NF	Chord Energy Corporation	CHRD	28-Apr-2023	FOIA Response	\$151.36	6,289	No recent SEC investigative activity found.
NF	Chuy's Holdings Inc.	CHUY	25-Apr-2023	FOIA Response	\$37.43	678	No recent SEC investigative activity found.
NF	Cincinnati Financial Corp.	CINF	25-Apr-2023	FOIA Response	\$100.43	15,789	No recent SEC investigative activity found.
NF	Clarivate PLC	CLVT	12-Apr-2023	FOIA Response	\$7.55	5,102	No recent SEC investigative activity found.
NF	Clean Harbors, Inc.	CLH	26-Apr-2023	FOIA Response	\$144.60	7,824	No recent SEC investigative activity found.
NF	Cognex Corporation	CGNX	27-Apr-2023	FOIA Response	\$52.15	9,001	No recent SEC investigative activity found.
NF	Commercial Metals Company	CMC	27-Apr-2023	FOIA Response	\$44.52	5,214	No recent SEC investigative activity found.
NF	Comstock Resources Inc.	CRK	25-Apr-2023	FOIA Response	\$10.42	2,892	No recent SEC investigative activity found.
NF	Dentsply Sirona, Inc.	XRAY	18-Apr-2023	FOIA Response	\$37.13	7,889	No recent SEC investigative activity found.
NF	Devon Energy Corporation	DVN	26-Apr-2023	FOIA Response	\$49.67	31,873	No recent SEC investigative activity found.
NF	DoorDash Inc.	DASH	12-Apr-2023	FOIA Response	\$66.00	25,645	No recent SEC investigative activity found.



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NF	Dril-Quip, Inc.	DRQ	26-Apr-2023	FOIA Response	\$24.23	828	No recent SEC investigative activity found.
NF	Dun & Bradstreet Corp.	DNB	16-Apr-2023	FOIA Response	\$9.81	4,310	No recent SEC investigative activity found.
NF	Dynatrace Inc.	DT	13-Apr-2023	FOIA Response	\$49.15	14,274	No recent SEC investigative activity found.
NF	Eagle Pharmaceuticals, Inc.	EGRX	21-Apr-2023	FOIA Response	\$20.92	274	No recent SEC investigative activity found.
NF	Elanco Animal Health Inc.	ELAN	13-Apr-2023	FOIA Response	\$8.40	4,137	No recent SEC investigative activity found.
NF	Electronic Arts, Inc.	EA	17-Apr-2023	FOIA Response	\$125.40	34,388	No recent SEC investigative activity found.
NF	Energizer Holdings, Inc.	ENR	24-Apr-2023	FOIA Response	\$34.06	2,435	No recent SEC investigative activity found.
NF	Envista Holdings Corp.	NVST	13-Apr-2023	FOIA Response	\$33.45	5,476	No recent SEC investigative activity found.
NF	Equifax, Inc.	EFX	13-Apr-2023	FOIA Response	\$207.74	25,478	No recent SEC investigative activity found.
NF	Ethan Allen Interiors, Inc.	ETH	24-Apr-2023	FOIA Response	\$3.04	108	No recent SEC investigative activity found.
NF	Exelixis, Inc.	EXEL	26-Apr-2023	FOIA Response	\$19.33	6,293	No recent SEC investigative activity found.
NF	Ferroglobe PLC	GSM	18-Apr-2023	FOIA Response	\$4.82	903	No recent SEC investigative activity found.
NF	Five Below Inc.	FIVE	24-Apr-2023	FOIA Response	\$185.88	10,347	No recent SEC investigative activity found.
NF	Flowserve Corp.	FLS	24-Apr-2023	FOIA Response	\$33.61	4,408	No recent SEC investigative activity found.



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NF	Foreign Trade Bank of Latin America, Inc.	BLX	24-Apr-2023	FOIA Response	\$19.74	720	No recent SEC investigative activity found.
NF	Fox Corporation	FOX	18-Apr-2023	FOIA Response	\$28.65	15,110	No recent SEC investigative activity found.
NF	Franklin Street Properties Corp.	FSP	26-Apr-2023	FOIA Response	\$1.51	156	No recent SEC investigative activity found.
NF	Genesco Inc.	GCO	20-Apr-2023	FOIA Response	\$29.77	374	No recent SEC investigative activity found.
NF	Genpact Ltd.	G	26-Apr-2023	FOIA Response	\$37.82	6,965	No recent SEC investigative activity found.
NF	Geospace Technologies Corp.	GEOS	13-Apr-2023	FOIA Response	\$8.50	112	No recent SEC investigative activity found.
NF	German American Bancorp, Inc.	GABC	13-Apr-2023	FOIA Response	\$28.32	838	No recent SEC investigative activity found.
NF	Global Net Lease Inc.	GNL	14-Apr-2023	FOIA Response	\$9.37	973	No recent SEC investigative activity found.
NF	Great Southern Bancorp, Inc.	GSBC	17-Apr-2023	FOIA Response	\$50.93	613	No recent SEC investigative activity found.
NF	Green Dot Corporation	GDOT	14-Apr-2023	FOIA Response	\$17.61	916	No recent SEC investigative activity found.
NF	Guess Inc.	GES	28-Apr-2023	FOIA Response	\$17.37	967	No recent SEC investigative activity found.
NF	Guidewire Software, Inc.	GWRE	21-Apr-2023	FOIA Response	\$79.17	6,458	No recent SEC investigative activity found.
NF	Gulf Island Fabrication Inc.	GIFI	17-Apr-2023	FOIA Response	\$3.26	53	No recent SEC investigative activity found.
NF	Henry Schein, Inc.	HSIC	20-Apr-2023	FOIA Response	\$75.56	9,899	No recent SEC investigative activity found.



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NF	Houlihan Lokey Inc.	HLI	13-Apr-2023	FOIA Response	\$87.60	6,017	No recent SEC investigative activity found.
NF	Huntsman Corporation	HUN	27-Apr-2023	FOIA Response	\$25.48	4,617	No recent SEC investigative activity found.
NF	ICF International Inc.	ICFI	20-Apr-2023	FOIA Response	\$111.48	2,095	No recent SEC investigative activity found.
NF	IMAX Corp.	IMAX	21-Apr-2023	FOIA Response	\$18.32	1,000	No recent SEC investigative activity found.
NF	Ingersoll-Rand Plc	IR	20-Apr-2023	FOIA Response	\$56.91	23,021	No recent SEC investigative activity found.
NF	Ironwood Pharmaceuticals, Inc.	IRWD	13-Apr-2023	FOIA Response	\$10.81	1,679	No recent SEC investigative activity found.
NF	Itron, Inc.	ITRI	20-Apr-2023	FOIA Response	\$65.00	2,953	No recent SEC investigative activity found.
NF	JetBlue Airways Corp.	JBLU	26-Apr-2023	FOIA Response	\$6.76	2,217	No recent SEC investigative activity found.
NF	Mercury General Corporation	MCY	20-Apr-2023	FOIA Response	\$29.63	1,641	No recent SEC investigative activity found.
NF	Mr. Cooper Group, Inc.	COOP	18-Apr-2023	FOIA Response	\$45.10	3,069	No recent SEC investigative activity found.
NF	National Western Life Group, Inc.	NWLI	14-Apr-2023	FOIA Response	\$382.03	1,385	No recent SEC investigative activity found.
NF	Natus Medical Inc.	BABY	18-Apr-2023	FOIA Response	\$0.74	83	No recent SEC investigative activity found.
NF	Northern Oil & Gas Inc.	NOG	13-Apr-2023	FOIA Response	\$31.98	2,975	No recent SEC investigative activity found.
NF	Northern Trust Corporation	NTRS	12-Apr-2023	FOIA Response	\$71.35	14,865	No recent SEC investigative activity found.



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NF	Novanta, Inc.	NOVT	14-Apr-2023	FOIA Response	\$161.18	5,771	No recent SEC investigative activity found.
NF	Novocure, Ltd.	NVCR	12-Apr-2023	FOIA Response	\$79.84	8,480	No recent SEC investigative activity found.
NF	ONEOK Inc.	OKE	26-Apr-2023	FOIA Response	\$58.54	26,193	No recent SEC investigative activity found.
NF	Otter Tail Corp.	OTTR	24-Apr-2023	FOIA Response	\$76.88	3,207	No recent SEC investigative activity found.
NF	Par Pacific Holdings Inc.	PARR	28-Apr-2023	FOIA Response	\$21.12	1,289	No recent SEC investigative activity found.
NF	Pediatrix Medical Group Inc. (fka, Mednax, Inc.)	MD	24-Apr-2023	FOIA Response	\$13.44	1,126	No recent SEC investigative activity found.
NF	PJT Partners Inc.	PJT	25-Apr-2023	FOIA Response	\$66.71	1,655	No recent SEC investigative activity found.
NF	PNM Resources, Inc.	PNM	24-Apr-2023	FOIA Response	\$45.62	3,916	No recent SEC investigative activity found.
NF	Progress Software Corp.	PRGS	18-Apr-2023	FOIA Response	\$58.97	2,554	No recent SEC investigative activity found.
NF	Propetro Holding Corp.	PUMP	19-Apr-2023	FOIA Response	\$7.63	879	No recent SEC investigative activity found.
NF	Prospect Capital Corp.	PSEC	20-Apr-2023	FOIA Response	\$6.36	2,558	No recent SEC investigative activity found.
NF	Provident Financial Services Inc.	PFS	12-Apr-2023	FOIA Response	\$16.26	1,229	No recent SEC investigative activity found.
NF	Quanta Services, Inc.	PWR	24-Apr-2023	FOIA Response	\$166.56	24,180	No recent SEC investigative activity found.
NF	Quotient Technology Inc.	QUOT	27-Apr-2023	FOIA Response	\$2.64	268	No recent SEC investigative activity found.



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NF	Rayonier, Inc.	RYN	19-Apr-2023	FOIA Response	\$29.01	4,300	No recent SEC investigative activity found.
NF	Re/Max Holdings, Inc.	RMAX	28-Apr-2023	FOIA Response	\$18.86	342	No recent SEC investigative activity found.
NF	Regis Corporation	RGS	20-Apr-2023	FOIA Response	\$1.02	47	No recent SEC investigative activity found.
NF	RingCentral Inc.	RNG	17-Apr-2023	FOIA Response	\$30.53	2,919	No recent SEC investigative activity found.
NF	Rithm Capital Corp.	RITM	17-Apr-2023	FOIA Response	\$8.04	3,956	No recent SEC investigative activity found.
NF	RMR Group Inc., The	RMR	20-Apr-2023	FOIA Response	\$21.64	684	No recent SEC investigative activity found.
NF	RPC, Inc.	RES	13-Apr-2023	FOIA Response	\$7.48	1,618	No recent SEC investigative activity found.
NF	Sandy Spring Bancorp, Inc.	SASR	20-Apr-2023	FOIA Response	\$21.37	958	No recent SEC investigative activity found.
NF	Sensient Technologies Corporation	SXT	28-Apr-2023	FOIA Response	\$72.32	3,055	No recent SEC investigative activity found.
NF	SFL Corporation Ltd.	SFL	20-Apr-2023	FOIA Response	\$8.72	1,208	No recent SEC investigative activity found.
NF	Sinclair Broadcast Group Inc.	SBGI	28-Apr-2023	FOIA Response	\$15.93	1,004	No recent SEC investigative activity found.
NF	Sirius XM Holdings Inc.	SIRI	20-Apr-2023	FOIA Response	\$3.53	13,656	No recent SEC investigative activity found.
NF	SiteOne Landscape Supply Inc.	SITE	18-Apr-2023	FOIA Response	\$141.26	6,355	No recent SEC investigative activity found.
NF	Snap-On Inc.	SNA	25-Apr-2023	FOIA Response	\$255.29	13,513	No recent SEC investigative activity found.



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NF	SP Plus Corporation	SP	17-Apr-2023	FOIA Response	\$36.84	723	No recent SEC investigative activity found.
NF	SpartanNash Company	SPTN	20-Apr-2023	FOIA Response	\$23.75	834	No recent SEC investigative activity found.
NF	Spectrum Pharmaceuticals, Inc.	SPPI	25-Apr-2023	FOIA Response	\$1.14	233	No recent SEC investigative activity found.
NF	Sprouts Farmers Market, Inc.	SFM	13-Apr-2023	FOIA Response	\$36.20	3,730	No recent SEC investigative activity found.
NF	Steris Corp.	STE	12-Apr-2023	FOIA Response	\$200.84	19,940	No recent SEC investigative activity found.
NF	Supernus Pharmaceuticals Inc.	SUPN	24-Apr-2023	FOIA Response	\$33.71	1,836	No recent SEC investigative activity found.
NF	Tejon Ranch Company	TRC	20-Apr-2023	FOIA Response	\$16.64	445	No recent SEC investigative activity found.
NF	Tetra Technologies, Inc.	TTI	17-Apr-2023	FOIA Response	\$2.88	373	No recent SEC investigative activity found.
NF	Textainer Group Holdings Limited	TGH	27-Apr-2023	FOIA Response	\$36.57	1,550	No recent SEC investigative activity found.
NF	Thermon Group Holdings, Inc.	THR	26-Apr-2023	FOIA Response	\$22.94	769	No recent SEC investigative activity found.
NF	Tompkins Financial Corp.	TMP	21-Apr-2023	FOIA Response	\$54.78	795	No recent SEC investigative activity found.
NF	Travelzoo Inc.	TZOO	19-Apr-2023	FOIA Response	\$8.17	125	No recent SEC investigative activity found.
NF	Trico Bancshares	TCBK	25-Apr-2023	FOIA Response	\$35.19	1,169	No recent SEC investigative activity found.
NF	TriMas Corp.	TRS	25-Apr-2023	FOIA Response	\$26.03	1,080	No recent SEC investigative activity found.



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NF	Triumph Group Inc.	TGI	12-Apr-2023	FOIA Response	\$11.77	770	No recent SEC investigative activity found.
NF	Tyler Technologies, Inc.	TYL	14-Apr-2023	FOIA Response	\$385.09	16,145	No recent SEC investigative activity found.
NF	United Airlines Holdings Inc.	UAL	18-Apr-2023	FOIA Response	\$46.66	15,303	No recent SEC investigative activity found.
NF	United States Lime & Minerals, Inc.	USLM	28-Apr-2023	FOIA Response	\$182.46	1,038	No recent SEC investigative activity found.
NF	Universal Corp.	UVV	12-Apr-2023	FOIA Response	\$53.65	1,317	No recent SEC investigative activity found.
NF	Urstadt Buddle Properties Inc.	UBA	28-Apr-2023	FOIA Response	\$19.36	759	No recent SEC investigative activity found.
NF	ViaSat Inc.	VSAT	27-Apr-2023	FOIA Response	\$43.54	3,325	No recent SEC investigative activity found.
NF	Viavi Solutions Inc.	VIAV	21-Apr-2023	FOIA Response	\$9.49	2,111	No recent SEC investigative activity found.
NF	Vipshop Holdings Ltd. - ADR	VIPS	27-Apr-2023	FOIA Response	\$14.92	8,401	No recent SEC investigative activity found.
NF	Vontier Corp.	VNT	19-Apr-2023	FOIA Response	\$28.78	4,479	No recent SEC investigative activity found.
NF	Waters Corporation	WAT	27-Apr-2023	FOIA Response	\$256.99	15,171	No recent SEC investigative activity found.
NF	Wave Life Sciences Ltd.	WVE	26-Apr-2023	FOIA Response	\$3.59	353	No recent SEC investigative activity found.
NF	Webster Financial Corp.	WBS	28-Apr-2023	FOIA Response	\$36.09	6,307	No recent SEC investigative activity found.
NF	Werner Enterprises, Inc.	WERN	20-Apr-2023	FOIA Response	\$44.24	2,804	No recent SEC investigative activity found.



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	Company Name	Ticker	Date of Response	Response Type	Price	Mkt Cap \$MM	Analyst Notes / Observations
NF	Winnebago Industries, Inc.	WGO	28-Apr-2023	FOIA Response	\$55.65	1,701	No recent SEC investigative activity found.
NF	Worthington Industries, Inc.	WOR	28-Apr-2023	FOIA Response	\$57.32	2,852	No recent SEC investigative activity found.
NF	Xencor Inc.	XNCR	26-Apr-2023	FOIA Response	\$27.77	1,677	No recent SEC investigative activity found.
							-- End of SEC Investigation Updates --



Important: The SEC reminds us that its assertion of the law enforcement exemption should not be construed as an indication by the Commission or its staff that any violations of law have occurred with respect to any person, entity, or security.

The data reflected in this report are only current as of the dates indicated for each individual company. SEC investigative activity could theoretically begin or end after the date covered by the latest information in this report which would not be reflected here. Similarly, public company activities and/or disclosures made since the dates indicated herein could impact your analysis. Companies with undisclosed SEC investigations are maintained on our Watch List of companies with undisclosed SEC investigations. Additional disclosures and information appear at the end of this report.

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