



Probes Reporter

Better Disclosure for Better Decisions.

SEC Investigation Updates

January 18, 2023

phone 763.595.0900 (USA)

Dreports@disclosureinsight.com

Updates on SEC Investigative Activity for US-Listed Companies

Market data as of the close on Wednesday, 18-Jan-2023

NEW = A new warning. **NF** = Nothing Found. **Update** or **Alert** = New information. **Docs** = SEC documents received. **Removed** = Off Watch List.

Company Name	Ticker	Date of Response	Response Type	Price	Mkt Cap \$MM	Analyst Notes / Observations	
NF	AbbVie Inc.	ABBV	16-Dec-2022	FOIA Response	\$149.20	263,857	No recent SEC investigative activity found.
NF	Adient PLC	ADNT	14-Dec-2022	FOIA Response	\$42.20	4,003	No recent SEC investigative activity found.
NF	Adtalem Global Education, Inc.	ATGE	12-Dec-2022	FOIA Response	\$36.43	1,654	No recent SEC investigative activity found.
NF	Agios Pharmaceuticals, Inc.	AGIO	13-Dec-2022	FOIA Response	\$28.05	1,541	No recent SEC investigative activity found.
NF	Air Transport Services Group, Inc.	ATSG	16-Dec-2022	FOIA Response	\$27.52	2,002	No recent SEC investigative activity found.
NF	Allison Transmission Holdings Inc.	ALSN	19-Dec-2022	FOIA Response	\$42.68	3,948	No recent SEC investigative activity found.
NF	Aluminum Corporation of China Limited (ADR)	ACH	15-Dec-2022	FOIA Response	\$11.20	621	No recent SEC investigative activity found.
NF	American Airlines Group Inc.	AAL	14-Dec-2022	FOIA Response	\$16.64	10,814	No recent SEC investigative activity found.
NF	American Eagle Outfitters, Inc.	AEO	14-Dec-2022	FOIA Response	\$15.12	2,834	No recent SEC investigative activity found.
NF	Annaly Capital Management Inc.	NLY	14-Dec-2022	FOIA Response	\$22.57	10,560	No recent SEC investigative activity found.
NF	Anterix Inc.	ATEX	29-Dec-2022	FOIA Response	\$34.86	660	No recent SEC investigative activity found.
NF	Aptiv PLC.	APTIV	16-Dec-2022	FOIA Response	\$102.64	27,810	No recent SEC investigative activity found.
NF	Archer-Daniels-Midland Company	ADM	15-Dec-2022	FOIA Response	\$85.00	46,693	No recent SEC investigative activity found.



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NF Astec Industries, Inc.	ASTE	12-Dec-2022	FOIA Response	\$38.52	875	No recent SEC investigative activity found.
NF Baidu, Inc.	BIDU	15-Dec-2022	FOIA Response	\$125.92	302,752	No recent SEC investigative activity found.
NF Ball Corporation	BLL	29-Dec-2022	FOIA Response	\$52.40	17,834	No recent SEC investigative activity found.
NF Becton, Dickinson & Company	BDX	27-Dec-2022	FOIA Response	\$253.57	71,856	No recent SEC investigative activity found.
NF Berry Global Group, Inc.	BERY	19-Dec-2022	FOIA Response	\$59.87	7,293	No recent SEC investigative activity found.
NF Bloomin' Brands Inc.	BLMN	19-Dec-2022	FOIA Response	\$23.65	2,076	No recent SEC investigative activity found.
NF Boot Barn Holdings Inc.	BOOT	29-Dec-2022	FOIA Response	\$75.01	2,236	No recent SEC investigative activity found.
NF Boyd Gaming Corporation	BYD	12-Dec-2022	FOIA Response	\$59.21	6,180	No recent SEC investigative activity found.
NF Brighthouse Financial, Inc.	BHF	15-Dec-2022	FOIA Response	\$51.64	3,570	No recent SEC investigative activity found.
NF Byline Bancorp, Inc.	BY	14-Dec-2022	FOIA Response	\$22.62	848	No recent SEC investigative activity found.
NF Cable One, Inc.	CABO	15-Dec-2022	FOIA Response	\$765.17	4,445	No recent SEC investigative activity found.
NF Calavo Growers Inc.	CVGW	15-Dec-2022	FOIA Response	\$31.65	561	No recent SEC investigative activity found.
NF Chegg Inc.	CHGG	20-Dec-2022	FOIA Response	\$20.38	2,557	No recent SEC investigative activity found.
NF Chevron Corporation	CVX	16-Dec-2022	FOIA Response	\$177.23	342,699	No recent SEC investigative activity found.
NF City Office REIT Inc.	CIO	14-Dec-2022	FOIA Response	\$9.24	367	No recent SEC investigative activity found.



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NF Comcast Corporation	CMCSA	29-Dec-2022	FOIA Response	\$38.28	165,500	No recent SEC investigative activity found.
NF CTI BioPharma Corporation	CTIC	14-Dec-2022	FOIA Response	\$5.66	718	No recent SEC investigative activity found.
NF Darden Restaurants, Inc.	DRI	16-Dec-2022	FOIA Response	\$146.48	17,827	No recent SEC investigative activity found.
NF Dick's Sporting Goods, Inc.	DKS	14-Dec-2022	FOIA Response	\$124.45	10,381	No recent SEC investigative activity found.
NF Dorman Products, Inc.	DORM	16-Dec-2022	FOIA Response	\$86.23	2,709	No recent SEC investigative activity found.
NF ESCO Technologies, Inc.	ESE	20-Dec-2022	FOIA Response	\$93.83	2,429	No recent SEC investigative activity found.
NF Farmer Brothers Co.	FARM	29-Dec-2022	FOIA Response	\$4.83	94	No recent SEC investigative activity found.
NF FARO Technologies, Inc.	FARO	7-Dec-2022	FOIA Response	\$35.20	661	No recent SEC investigative activity found.
NF FB Financial Corp.	FBK	27-Dec-2022	FOIA Response	\$35.70	1,675	No recent SEC investigative activity found.
NF First Hawaiian, Inc.	FHB	15-Dec-2022	FOIA Response	\$25.64	3,274	No recent SEC investigative activity found.
NF Fortive Corp.	FTV	15-Dec-2022	FOIA Response	\$66.34	23,472	No recent SEC investigative activity found.
NF GrafTech International Ltd.	GTI	15-Dec-2022	FOIA Response	\$0.02	16	No recent SEC investigative activity found.
NF Granite Point Mortgage Trust, Inc.	GPMT	20-Dec-2022	FOIA Response	\$6.23	326	No recent SEC investigative activity found.
NF GSI Technology Inc.	GSIT	27-Dec-2022	FOIA Response	\$2.26	56	No recent SEC investigative activity found.



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NF Healthpeak Properties, Inc.	PEAK	13-Dec-2022	FOIA Response	\$26.74	14,374	No recent SEC investigative activity found.
NF Heska Corp.	HSKA	29-Dec-2022	FOIA Response	\$84.02	910	No recent SEC investigative activity found.
NF Hostess Brands Inc.	TWNK	14-Dec-2022	FOIA Response	\$22.30	2,986	No recent SEC investigative activity found.
NF Illumina Inc.	ILMN	14-Dec-2022	FOIA Response	\$205.84	32,379	No recent SEC investigative activity found.
NF Independent Bank Corp.	IBCP	14-Dec-2022	FOIA Response	\$23.20	489	No recent SEC investigative activity found.
NF Ingevity Corp.	NGVT	27-Dec-2022	FOIA Response	\$79.04	2,954	No recent SEC investigative activity found.
NF Invesco Mortgage Capital Inc.	IVR	16-Dec-2022	FOIA Response	\$13.96	494	No recent SEC investigative activity found.
NF Iovance Biotherapeutics Inc.	IOVA	14-Dec-2022	FOIA Response	\$6.27	990	No recent SEC investigative activity found.
NF iRobot Corporation	IRBT	20-Dec-2022	FOIA Response	\$47.34	1,295	No recent SEC investigative activity found.
NF JM Smucker Company, The	SJM	20-Dec-2022	FOIA Response	\$150.18	16,015	No recent SEC investigative activity found.
NF Kratos Defense & Security Solutions Inc.	KTOS	15-Dec-2022	FOIA Response	\$10.67	1,344	No recent SEC investigative activity found.
NF Kronos Worldwide Inc.	KRO	12-Dec-2022	FOIA Response	\$11.10	1,282	No recent SEC investigative activity found.
NF Lamb Weston Holdings, Inc.	LW	27-Dec-2022	FOIA Response	\$97.09	13,968	No recent SEC investigative activity found.
NF Liberty Latin America Ltd.	LILA	14-Dec-2022	FOIA Response	\$8.82	1,913	No recent SEC investigative activity found.



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NF	Life Storage, Inc.	LSI	15-Dec-2022	FOIA Response	\$101.16	8,598	No recent SEC investigative activity found.
NF	Lithia Motors, Inc.	LAD	13-Dec-2022	FOIA Response	\$231.25	6,322	No recent SEC investigative activity found.
NF	Livent Corp.	LTHM	14-Dec-2022	FOIA Response	\$24.12	4,327	No recent SEC investigative activity found.
NF	Logitech International SA	LOGI	8-Dec-2022	FOIA Response	\$55.78	9,600	No recent SEC investigative activity found.
NF	Marathon Petroleum Corp.	MPC	27-Dec-2022	FOIA Response	\$121.31	56,853	No recent SEC investigative activity found.
NF	Marlin Business Services Corp.	MRLN	7-Dec-2022	FOIA Response	\$178.96	4,341	No recent SEC investigative activity found.
NF	Masonite International Corp.	DOOR	27-Dec-2022	FOIA Response	\$85.45	1,904	No recent SEC investigative activity found.
NF	MGIC Investment Corp.	MTG	29-Dec-2022	FOIA Response	\$13.44	3,992	No recent SEC investigative activity found.
NF	Momentive Global Inc.	MNTV	14-Dec-2022	FOIA Response	\$7.20	1,070	No recent SEC investigative activity found.
NF	Neogen Corp.	NEOG	28-Dec-2022	FOIA Response	\$18.65	4,031	No recent SEC investigative activity found.
NF	NetScout Systems, Inc.	NTCT	30-Dec-2022	FOIA Response	\$31.12	2,248	No recent SEC investigative activity found.
NF	New Relic Inc.	NEWR	30-Dec-2022	FOIA Response	\$58.07	3,968	No recent SEC investigative activity found.
NF	Nexpoint Residential Trust, Inc.	NXRT	8-Dec-2022	FOIA Response	\$44.59	1,139	No recent SEC investigative activity found.
NF	NuVasive, Inc.	NUVA	15-Dec-2022	FOIA Response	\$43.79	2,283	No recent SEC investigative activity found.



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NF	Olympic Steel Inc.	ZEUS	7-Dec-2022	FOIA Response	\$39.25	437	No recent SEC investigative activity found.
NF	Omega Healthcare Investors Inc.	OHI	28-Dec-2022	FOIA Response	\$28.18	6,599	No recent SEC investigative activity found.
NF	OneSpan Inc.	OSPN	16-Dec-2022	FOIA Response	\$13.16	522	No recent SEC investigative activity found.
NF	PACCAR Inc.	PCAR	20-Dec-2022	FOIA Response	\$99.74	34,686	No recent SEC investigative activity found.
NF	Parsons Corp	PSN	16-Dec-2022	FOIA Response	\$43.22	4,473	No recent SEC investigative activity found.
NF	Paylocity Holding Corp.	PCTY	16-Dec-2022	FOIA Response	\$197.42	10,991	No recent SEC investigative activity found.
NF	Penske Automotive Group, Inc.	PAG	13-Dec-2022	FOIA Response	\$115.18	8,213	No recent SEC investigative activity found.
NF	Premier Inc.	PINC	9-Dec-2022	FOIA Response	\$33.52	3,982	No recent SEC investigative activity found.
NF	Prothena Corporation PLC	PRTA	27-Dec-2022	FOIA Response	\$54.75	2,800	No recent SEC investigative activity found.
NF	Pure Storage Inc.	PSTG	14-Dec-2022	FOIA Response	\$26.74	8,091	No recent SEC investigative activity found.
NF	Quest Diagnostics, Inc.	DGX	20-Dec-2022	FOIA Response	\$145.10	16,525	No recent SEC investigative activity found.
NF	Quinstreet, Inc.	QNST	19-Dec-2022	FOIA Response	\$15.25	818	No recent SEC investigative activity found.
NF	RadNet, Inc.	RDNT	27-Dec-2022	FOIA Response	\$20.16	1,156	No recent SEC investigative activity found.
NF	Redfin Corp.	RDFN	15-Dec-2022	FOIA Response	\$5.75	625	No recent SEC investigative activity found.



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NF S&T Bancorp, Inc.	STBA	15-Dec-2022	FOIA Response	\$32.70	1,275	No recent SEC investigative activity found.
NF Sabre Corp.	SABR	14-Dec-2022	FOIA Response	\$6.79	2,230	No recent SEC investigative activity found.
NF Select Energy Services, Inc.	WTTR	12-Dec-2022	FOIA Response	\$8.70	1,083	No recent SEC investigative activity found.
NF Service Corporation International	SCI	20-Dec-2022	FOIA Response	\$69.37	10,670	No recent SEC investigative activity found.
NF Stoke Therapeutics, Inc.	STOK	14-Dec-2022	FOIA Response	\$9.23	364	No recent SEC investigative activity found.
NF Store Capital Corp.	STOR	30-Dec-2022	FOIA Response	\$32.13	9,083	No recent SEC investigative activity found.
NF Sunstone Hotel Investors Inc.	SHO	13-Dec-2022	FOIA Response	\$9.91	2,085	No recent SEC investigative activity found.
NF Take-Two Interactive Software Inc.	TTWO	16-Dec-2022	FOIA Response	\$103.22	17,322	No recent SEC investigative activity found.
NF TAL Education Group	TAL	27-Dec-2022	FOIA Response	\$7.97	5,171	No recent SEC investigative activity found.
NF TE Connectivity Ltd.	TEL	13-Dec-2022	FOIA Response	\$123.29	39,111	No recent SEC investigative activity found.
NF Terex Corp.	TEX	15-Dec-2022	FOIA Response	\$47.34	3,281	No recent SEC investigative activity found.
NF Textron, Inc.	TXT	15-Dec-2022	FOIA Response	\$68.33	14,265	No recent SEC investigative activity found.
NF TowneBank	TOWN	29-Dec-2022	FOIA Response	\$30.10	2,189	No recent SEC investigative activity found.
NF Transocean Ltd.	RIG	16-Dec-2022	FOIA Response	\$5.73	4,136	No recent SEC investigative activity found.



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NF	TripAdvisor, Inc.	TRIP	12-Dec-2022	FOIA Response	\$21.68	3,048	No recent SEC investigative activity found.
NF	UniFirst Corp.	UNF	14-Dec-2022	FOIA Response	\$191.09	3,571	No recent SEC investigative activity found.
NF	United Rentals, Inc.	URI	30-Dec-2022	FOIA Response	\$386.01	26,754	No recent SEC investigative activity found.
NF	Uniti Group, Inc.	UNIT	28-Dec-2022	FOIA Response	\$6.00	1,423	No recent SEC investigative activity found.
NF	Univar Inc.	UNVR	9-Dec-2022	FOIA Response	\$32.63	5,324	No recent SEC investigative activity found.
NF	US Bancorp	USB	15-Dec-2022	FOIA Response	\$45.81	70,100	No recent SEC investigative activity found.
NF	USANA Health Sciences Inc.	USNA	27-Dec-2022	FOIA Response	\$55.62	1,068	No recent SEC investigative activity found.
NF	Varex Imaging Corp.	VREX	30-Dec-2022	FOIA Response	\$20.56	824	No recent SEC investigative activity found.
NF	Vera Bradley, Inc.	VRA	9-Dec-2022	FOIA Response	\$5.56	172	No recent SEC investigative activity found.
NF	Verisign, Inc.	VRSN	20-Dec-2022	FOIA Response	\$212.32	22,509	No recent SEC investigative activity found.
NF	Vir Biotechnology, Inc.	VIR	8-Dec-2022	FOIA Response	\$26.19	3,486	No recent SEC investigative activity found.
NF	W.W. Grainger, Inc.	GWV	20-Dec-2022	FOIA Response	\$570.67	28,836	No recent SEC investigative activity found.
NF	WestRock Company	WRK	20-Dec-2022	FOIA Response	\$36.37	9,257	No recent SEC investigative activity found.
NF	Weyerhaeuser Co.	WY	7-Dec-2022	FOIA Response	\$31.33	23,056	No recent SEC investigative activity found.



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NF	White Mountains Insurance Group, Ltd.	WTM	30-Dec-2022	FOIA Response	\$1,429.93	3,684	No recent SEC investigative activity found.
NF	Winmark Corp.	WINA	30-Dec-2022	FOIA Response	\$256.60	882	No recent SEC investigative activity found.
NF	Wyndham Hotels & Resorts, Inc.	WH	29-Dec-2022	FOIA Response	\$72.64	6,416	No recent SEC investigative activity found.
NF	XPO Logistics Inc.	XPO	16-Dec-2022	FOIA Response	\$37.50	4,319	No recent SEC investigative activity found.
NF	Zimmer Biomet Holdings Inc.	ZBH	7-Dec-2022	FOIA Response	\$122.08	25,619	No recent SEC investigative activity found.
NF	Zuora, Inc.	ZUO	29-Dec-2022	FOIA Response	\$6.89	921	No recent SEC investigative activity found.
<p>-- End of SEC Investigation Updates --</p>							