

Independent Investment Research Focused on Public Company Interactions with the SEC.

No Recent SEC Investigative Records Found – 47 Companies

No SEC Investigative Activity: As of the date of the response in the table below, we received information from the SEC to suggest the absence of recent SEC investigative activity on the following **47 companies**.

#	Company Name	Ticker	Date of Response
1	Aaron's Inc.	AAN	9-Jul-2018
2	Andersons Inc., The	ANDE	10-Jul-2018
3	Baidu, Inc.	BIDU	11-Jul-2018
4	Basic Energy Services, Inc.	BAS	13-Jul-2018
5	Cabot Corp.	CBT	13-Jul-2018
6	Cameco Corp.	CCJ	10-Jul-2018
7	Capella Education Co.	CPLA	11-Jul-2018
8	Capital Senior Living Corp.	CSU	13-Jul-2018
9	Capstead Mortgage Corp.	CMO	11-Jul-2018
10	Central European Media Enterprises Ltd.	CETV	10-Jul-2018
11	CGI Group, Inc.	GIB	13-Jul-2018
12	Charter Financial Corporation	CHFN	13-Jul-2018
13	Cincinnati Bell, Inc.	CBB	12-Jul-2018
14	Commerce Bancshares, Inc.	CBSH	9-Jul-2018
15	CTS Corporation	CTS	13-Jul-2018
16	Eagle Materials Inc.	EXP	11-Jul-2018
17	ePlus Inc.	PLUS	10-Jul-2018
18	Equity LifeStyle Properties, Inc.	ELS	10-Jul-2018
19	Farmer Brothers Co.	FARM	13-Jul-2018
20	First Busey Corporation	BUSE	11-Jul-2018
21	General Dynamics Corporation	GD	11-Jul-2018
22	Global Payments Inc.	GPN	13-Jul-2018
23	Granite Construction Incorporated	GVA	11-Jul-2018
24	Griffon Corporation	GFF	13-Jul-2018
25	Haverty Furniture Companies Inc.	HVT	13-Jul-2018
26	Hibbett Sports, Inc.	HIBB	10-Jul-2018
27	Interactive Brokers Group, Inc.	IBKR	10-Jul-2018
28	Kohl's Corp.	KSS	9-Jul-2018
29	Luminex Corporation	LMNX	11-Jul-2018
30	Nu Skin Enterprises, Inc.	NUS	9-Jul-2018
31	Oclaro Inc.	OCLR	9-Jul-2018
32	Owens Corning, Inc.	OC	13-Jul-2018
33	Prestige Brands Holdings, Inc.	PBH	9-Jul-2018
34	Pros Holdings, Inc.	PRO	10-Jul-2018
35	Public Storage, Inc.	PSA	10-Jul-2018
36	Realty Income Corp.	O	13-Jul-2018

37	Skechers USA Inc.	SKX	9-Jul-2018
38	Starbucks Corporation	SBUX	12-Jul-2018
39	Sykes Enterprises, Inc.	SYKE	12-Jul-2018
40	Synchrony Financial	SYF	9-Jul-2018
41	TherapeuticsMD Inc.	TXMD	12-Jul-2018
42	UGI Corp.	UGI	10-Jul-2018
43	United Rentals, Inc.	URI	13-Jul-2018
44	Urban Edge Properties	UE	11-Jul-2018
45	West Pharmaceutical Services, Inc.	WST	12-Jul-2018
46	Westwood Holdings Group Inc.	WHG	12-Jul-2018
47	XL Group Ltd.	XL	11-Jul-2018

Notes: New SEC investigative activity could theoretically begin or end after the date covered by the latest information in this report which would not be reflected here. All companies we identify as having undisclosed SEC investigations are maintained on our Watch List of companies with undisclosed SEC probes if we learn about them at the time the investigation(s) are ongoing. The SEC did not disclose the details on investigations referenced herein. All we know is that they somehow pertain to the conduct, transactions, and/or disclosures of the companies referenced above. Documents released by the SEC can provide additional insights but, as always, should only be viewed as a piece of your overall investment mosaic. The SEC reminds us that its assertion of the law enforcement exemption should not be construed as an indication by the Commission or its staff that any violations of law have occurred with respect to any person, entity, or security.

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