

Independent Investment Research Focused on Public Company Interactions With the SEC.

No Recent SEC Investigative Records Found

No SEC Investigative Activity: As of the date of the response in the table below, we received information from the SEC to suggest the absence of recent SEC investigative activity on the following **31 companies**.

As always, keep in mind that new SEC investigative activity could theoretically begin after the date covered by this latest information which would not be reflected here.

#	Company Name	Ticker	Date of Response
1	1st Source Corporation	SRCE	16-Nov-2016
2	Amphastar Pharmaceutical Inc.	AMPH	16-Nov-2016
3	Applied Industrial Technologies, Inc.	AIT	17-Nov-2016
4	Babcock & Wilcox Enterprises, Inc.	BW	17-Nov-2016
5	Berkshire Hills Bancorp, Inc.	BHLB	17-Nov-2016
6	Caleres, Inc. fka, Brown Shoe Co. Inc.	BWS	17-Nov-2016
7	Caretrust REIT Inc.	CTRE	15-Nov-2016
8	Continental Building Products Inc.	CBPX	16-Nov-2016
9	Ensign Group Inc., The	ENSG	17-Nov-2016
10	FleetMatics Group PLC	FLTIX	16-Nov-2016
11	GAMCO Investors Inc.	GBL	16-Nov-2016
12	Glaukos Corp.	GKOS	14-Nov-2016
13	Groupon, Inc.	GRPN	17-Nov-2016
14	Hannon Armstrong Sustainable Infrastructure Capital, Inc.	HASI	14-Nov-2016
15	Heartland Financial USA Inc.	HTLF	15-Nov-2016
16	Hyster-Yale Materials Handling Inc.	HY	15-Nov-2016
17	Intellia Therapeutics Inc.	NTLA	16-Nov-2016
18	Ironwood Pharmaceuticals, Inc.	IRWD	14-Nov-2016
19	Lakeland Financial Corp.	LKFN	16-Nov-2016
20	Lydall Inc.	LDL	16-Nov-2016
21	Patrick Industries Inc.	PATK	17-Nov-2016
22	Planet Fitness Inc.	PLNT	17-Nov-2016
23	Ply Gem Holdings Inc.	PGEM	17-Nov-2016
24	Scorpio Tankers Inc.	STNG	16-Nov-2016
25	Surgery Partners Inc.	SGRY	16-Nov-2016
26	Vonage Holdings Corp.	VG	15-Nov-2016
27	Wabash National Corp.	WNC	14-Nov-2016
28	Web.com Group, Inc.	WWWW	14-Nov-2016
29	Weight Watchers International Inc.	WTW	16-Nov-2016
30	Wingstop Inc.	WING	14-Nov-2016
31	Xencor Inc.	XNCR	16-Nov-2016

Notes: New SEC investigative activity could theoretically begin or end after the date covered by the latest information in this report which would not be reflected here. All companies with undisclosed SEC investigations are maintained on our Watch List of companies with undisclosed SEC probes. The SEC did not disclose the details on investigations referenced herein. All we know is that they somehow pertain to the conduct, transactions, and/or disclosures of the companies referenced above.

The SEC reminds us that its assertion of the law enforcement exemption should not be construed as an indication by the Commission or its staff that any violations of law have occurred with respect to any person, entity, or security.

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