

Independent Investment Research Focused on Public Company Interactions with the SEC.

## No Recent SEC Investigative Records Found – 89 Companies

As of the date of the response in the table below, we received Freedom of Information Act (FOIA) responses from the SEC to suggest the absence of recent SEC investigative activity. If you think any of these companies have SEC investigations, or had them in the recent past, let us know and we'll file an appeal to challenge the adequacy of the search that led to the responses cited here.

#	Company Name	Ticker	Date of Response
1	Aimmune Therapeutics Inc.	AIMT	3-May-2019
2	Align Technology, Inc.	ALGN	3-May-2019
3	Alnylam Pharmaceuticals, Inc.	ALNY	22-Apr-2019
4	American States Water Company	AWR	25-Apr-2019
5	American Woodmark Corp	AMWD	26-Apr-2019
6	Anworth Mortgage Asset Corporation	ANH	26-Apr-2019
7	BankFinancial Corp.	BFIN	26-Apr-2019
8	Caesars Entertainment Corp.	CZR	24-Apr-2019
9	California Water Service Group	CWT	29-Apr-2019
10	Callaway Golf Co.	ELY	25-Apr-2019
11	Capital City Bank Group Inc.	CCBG	25-Apr-2019
12	Cedar Fair, L.P.	FUN	1-May-2019
13	CF Industries Holdings, Inc.	CF	23-Apr-2019
14	Chesapeake Utilities Corporation	CPK	25-Apr-2019
15	Cirrus Logic, Inc.	CRUS	30-Apr-2019
16	Clearwater Paper Corp.	CLW	29-Apr-2019
17	Cosan Ltd.	CZZ	29-Apr-2019
18	Cott Corporation	COT	26-Apr-2019
19	Cousins Properties Incorporated	CUZ	25-Apr-2019
20	Deere & Co.	DE	25-Apr-2019
21	Denny's Corporation	DENN	2-May-2019
22	Dime Community Bancshares, Inc.	DCOM	3-May-2019
23	Elbit Systems Ltd.	ESLT	3-May-2019
24	Emergent BioSolutions Inc.	EBS	25-Apr-2019
25	Ennis Inc.	EBF	24-Apr-2019
26	Enova International Inc.	ENVA	24-Apr-2019
27	Eversource Energy	ES	24-Apr-2019
28	Francesca's Holdings Corporation	FRAN	1-May-2019
29	Genesis Energy LP	GEL	25-Apr-2019
30	GEO Group Inc., The	GEO	3-May-2019
31	G-III Apparel Group, Ltd.	GIII	15-Apr-2019
32	Globus Medical Inc.	GMED	2-May-2019
33	Hanmi Financial Corp.	HAFC	1-May-2019

34	Hecla Mining Co.	HL	23-Apr-2019
35	Heidrick & Struggles International, Inc.	HSII	24-Apr-2019
36	Helen of Troy Limited	HELE	24-Apr-2019
37	Hershey Company, The	HSY	23-Apr-2019
38	Highwoods Properties, Inc.	HIW	22-Apr-2019
39	Hospitality Properties Trust	HPT	22-Apr-2019
40	Independent Bank Corp.	IBCP	1-May-2019
41	Intercontinental Hotels Group PLC	IHG	22-Apr-2019
42	INTL Fcstone, Inc.	INTL	23-Apr-2019
43	Iron Mountain, Inc.	IRM	26-Apr-2019
44	Jones Lang LaSalle, Inc.	JLL	15-Apr-2019
45	Kemet Corp.	KEM	26-Apr-2019
46	Kilroy Realty Corporation	KRC	25-Apr-2019
47	Kirkland's Inc.	KIRK	23-Apr-2019
48	Knoll, Inc.	KNL	29-Apr-2019
49	Korn/Ferry International	KFY	22-Apr-2019
50	Kroger Co., The	KR	24-Apr-2019
51	Lancaster Colony Corporation	LANC	25-Apr-2019
52	Liberty Global PLC	LBTYA	25-Apr-2019
53	Lincoln National Corp.	LNC	3-May-2019
54	Liquidity Services, Inc.	LQDT	26-Apr-2019
55	Magellan Health Services Inc.	MGLN	24-Apr-2019
56	Magellan Midstream Partners LP	MMP	25-Apr-2019
57	Manhattan Associates Inc.	MANH	26-Apr-2019
58	Mantech International Corporation	MANT	25-Apr-2019
59	Marcus Corporation	MCS	25-Apr-2019
60	MBIA Inc.	MBI	30-Apr-2019
61	Methode Electronics, Inc.	MEI	1-May-2019
62	MGE Energy Inc.	MGEE	25-Apr-2019
63	National Presto Industries, Inc.	NPK	30-Apr-2019
64	NVR Inc.	NVR	24-Apr-2019
65	Parker-Hannifin Corporation	PH	26-Apr-2019
66	Pennsylvania REIT	PEI	30-Apr-2019
67	ProAssurance Corporation	PRA	2-May-2019
68	Rigel Pharmaceuticals, Inc.	RIGL	3-May-2019
69	Sabine Royalty Trust	SBR	29-Apr-2019
70	Safeguard Scientifics, Inc.	SFE	29-Apr-2019
71	Saia, Inc.	SAIA	25-Apr-2019
72	SJW Corp.	SJW	25-Apr-2019
73	SL Green Realty Corporation	SLG	30-Apr-2019
74	Stage Stores Inc.	SSI	24-Apr-2019
75	Supervalu, Inc.	SVU	3-May-2019
76	Target Corporation	TGT	3-May-2019
77	TE Connectivity Ltd.	TEL	30-Apr-2019
78	Ternium S.A.	TX	2-May-2019
79	TFS Financial Corp.	TFSL	24-Apr-2019
80	Town Sports International Holdings Inc.	CLUB	24-Apr-2019
81	TTEC Holdings, Inc.	TTEC	2-May-2019
82	Umpqua Holdings Corporation	UMPQ	30-Apr-2019

83	Union Pacific Corporation	UNP	1-May-2019
84	Washington Prime Group, Inc.	WPG	24-Apr-2019
85	Western Digital Corporation	WDC	1-May-2019
86	Westlake Chemical Corporation	WLK	17-Apr-2019
87	Whiting Petroleum Corp.	WLL	24-Apr-2019
88	WPX Energy Inc.	WPX	30-Apr-2019

**Notes:** New SEC investigative activity could theoretically begin or end after the date covered by the latest information in this report, which would not be reflected here. Where applicable, the SEC did not disclose the details on investigations referenced herein. All we know is that they somehow pertain to the conduct, transactions, and/or disclosures of the companies. Companies with undisclosed SEC investigations are maintained on our Watch List of companies with undisclosed SEC investigations. The SEC reminds us that its assertion of the law enforcement exemption should not be construed as an indication by the Commission or its staff that any violations of law have occurred with respect to any person, entity, or security.

---

### Independent Investment Research Focused on Public Company Interactions with the SEC.

Visit [www.probesreporter.com](http://www.probesreporter.com)  
to learn more about becoming a client subscriber.

Or call 763-595-0900 (USA).

---

To learn more about our research process, including how to best use this information in your own decision-making, [click here](#).

Our Terms of Service, relevant disclosures, and other legal notices [can be found here](#).

#### Copyright Warning and Notice

The works of authorship contained in the accompanying material, including but not limited to all data, design, text, images, charts and other data compilations or collective works are owned by Probes Reporter, LLC or one of its affiliates and may not be copied, reproduced, transmitted, displayed, performed, distributed, rented, sublicensed, altered, or stored for subsequent use, in whole or in part in any manner, without the prior written consent of Probes Reporter, LLC.

Photocopying or electronic distribution of any of the accompanying material or contents without the prior written consent of Probes Reporter, LLC violates U.S. copyright law, and may be punishable by statutory damages of up to \$150,000 per infringement, plus attorneys' fees (17 USC 504 et. seq.). Without advance permission, illegal copying includes regular photocopying, faxing, excerpting, forwarding electronically, and sharing of online access.

#### Intellectual Property

© 2019 Probes Reporter, LLC. All rights reserved. Probes Reporter®; They Know it. Now You Know It.®; Better Disclosure for Better Decisions®; Disclosure Insight®; and Disclosure Games® are trademarks of Probes Reporter, LLC and are proprietary.

**DISCLAIMER**

Probes Reporter, LLC is not an investment adviser and does not offer or provide personalized investment advice. The information in our reports and appearing on ProbesReporter.com is not a solicitation connected to any security. The information we provide is obtained from company submissions and our own Freedom of Information requests made to the Securities and Exchange Commission. No representation or warranty is made as to the timeliness or completeness of any information found in our reports or on ProbesReporter.com.

Probes Reporter does not adopt the truth or falsity of the contents of any of the documents or filings referred to on this website, and no conclusion of wrongdoing should be inferred from the fact that an investigation has been initiated by the SEC. Probes Reporter is not the guarantor of any investment and cannot be held liable for any losses or expenses incurred as a result of reliance upon any information contained herein, and ProbesReporter.com is not a substitute for your own due diligence, which may include advice from an investment professional.

With few exceptions, Probes Reporter, LLC prohibits its employees and principals from trading of any kind in any individual public company securities, or derivatives thereof, on any company on which production of any new research report has commenced. Such prohibitions shall remain in place until either 5 days after the individual research report has been published or its production otherwise ceases.

Probes Reporter, LLC does not engage in investment banking activities or take any security positions, except those necessary for routine corporate treasury functions

Our full trading policy, along with our Terms of Service, relevant disclosures, and other legal notices [can be found here](#).

