

Independent Investment Research Focused on Public Company Interactions with the SEC.

No Recent SEC Investigative Records Found – 54 Companies

As of the date of the response in the table below, we received Freedom of Information Act (FOIA) responses from the SEC to suggest the absence of recent SEC investigative activity. If you think any of these companies have SEC investigations, or had them in the recent past, let us know and we'll file an appeal to challenge the adequacy of the search that led to the responses cited here.

#	Company Name	Ticker	Date of Response
1	Aerie Pharmaceuticals Inc.	AERI	28-Oct-2019
2	AMAG Pharmaceuticals, Inc.	AMAG	30-Oct-2019
3	Apollo Investment Corp.	AINV	1-Nov-2019
4	Autoliv, Inc.	ALV	28-Oct-2019
5	AVX Corp.	AVX	29-Oct-2019
6	Berkley W. R. Corp.	WRB	29-Oct-2019
7	Boston Properties, Inc.	BXP	29-Oct-2019
8	Bottomline Technologies Inc.	EPAY	29-Oct-2019
9	Brown & Brown, Inc.	BRO	30-Oct-2019
10	Callon Petroleum Company	CPE	31-Oct-2019
11	Canadian Pacific Railway Ltd.	CP	31-Oct-2019
12	Chase Corporation	CCF	30-Oct-2019
13	Chuy's Holdings Inc.	CHUY	28-Oct-2019
14	Cincinnati Financial Corp.	CINF	28-Oct-2019
15	CNA Financial Corporation	CNA	4-Nov-2019
16	Comerica, Inc.	CMA	31-Oct-2019
17	Commercial Metals Company	CMC	28-Oct-2019
18	Commercial Vehicle Group, Inc.	CVGI	4-Nov-2019
19	Conmed Corporation	CNMD	31-Oct-2019
20	Constellation Brands, Inc.	STZ	29-Oct-2019
21	Corcept Therapeutics Inc.	CORT	29-Oct-2019
22	CoreCivic Inc.	CXW	28-Oct-2019
23	Cullen-Frost Bankers, Inc.	CFR	30-Oct-2019
24	Designer Brands, Inc. (fka DSW, Inc.)	DBI	28-Oct-2019
25	Domtar Corporation	UFS	29-Oct-2019
26	Dycom Industries, Inc.	DY	31-Oct-2019
27	Dynavax Technologies Corp.	DVAX	30-Oct-2019
28	EPAM Systems, Inc.	EPAM	31-Oct-2019
29	ExlService Holdings, Inc.	EXLS	29-Oct-2019
30	Harsco Corp.	HSC	29-Oct-2019
31	Haynes International, Inc.	HAYN	4-Nov-2019
32	Helmerich & Payne Inc.	HP	4-Nov-2019
33	Huntington Ingalls Industries, Inc.	HII	31-Oct-2019

34	Hyatt Hotels Corporation	H	29-Oct-2019
35	Jacobs Engineering Group Inc.	JEC	29-Oct-2019
36	KB Home Inc.	KBH	1-Nov-2019
37	Lakeland Bancorp, Inc.	LBAI	30-Oct-2019
38	Lincoln Electric Holdings Inc.	LECO	29-Oct-2019
39	Merrimack Pharmaceuticals Inc.	MACK	1-Nov-2019
40	MGM Resorts International	MGM	1-Nov-2019
41	Mohawk Industries, Inc.	MHK	4-Nov-2019
42	MSA Safety Inc.	MSA	29-Oct-2019
43	National Fuel Gas Co.	NFG	29-Oct-2019
44	Otonomy Inc.	OTIC	31-Oct-2019
45	Par Pacific Holdings Inc.	PARR	29-Oct-2019
46	PICO Holdings Inc.	PICO	29-Oct-2019
47	Primerica Inc.	PRI	31-Oct-2019
48	Progress Software Corp.	PRGS	28-Oct-2019
49	Radware, Ltd.	RDWR	28-Oct-2019
50	Ryder System, Inc.	R	28-Oct-2019
51	Standard Motor Products Inc.	SMP	1-Nov-2019
52	Synaptics Inc.	SYNA	25-Oct-2019
53	Toro Co.	TTC	30-Oct-2019
54	Unit Corporation	UNT	30-Oct-2019

Notes: *New SEC investigative activity could theoretically begin or end after the date covered by the latest information in this report, which would not be reflected here. Where applicable, the SEC did not disclose the details on investigations referenced herein. All we know is that they somehow pertain to the conduct, transactions, and/or disclosures of the companies. Companies with undisclosed SEC investigations are maintained on our Watch List of companies with undisclosed SEC investigations. The SEC reminds us that its assertion of the law enforcement exemption should not be construed as an indication by the Commission or its staff that any violations of law have occurred with respect to any person, entity, or security.*

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