

Independent Investment Research Focused on Public Company Interactions with the SEC.

No Recent SEC Investigative Records Found – 106 Companies

As of the date of the response in the table below, we received Freedom of Information Act (FOIA) responses from the SEC to suggest the absence of recent SEC investigative activity. If you think any of these companies have SEC investigations, or had them in the recent past, let us know and we'll file an appeal to challenge the adequacy of the search that led to the responses cited here.

#	Company Name	Ticker	Date of Response
1	8X8, Inc.	EGHT	30-Jul-2019
2	Abiomed Inc.	ABMD	6-Aug-2019
3	Accelaron Pharma Inc.	XLRN	5-Aug-2019
4	Aduro Biotech, Inc.	ADRO	7-Aug-2019
5	Agree Realty Corp.	ADC	31-Jul-2019
6	Alexandria Real Estate Equities, Inc.	ARE	6-Aug-2019
7	Alico, Inc.	ALCO	9-Aug-2019
8	America Movil S.A.B de C.V.	AMX	2-Aug-2019
9	Amerisafe Inc.	AMSF	13-Aug-2019
10	AngioDynamics Inc.	ANGO	13-Aug-2019
11	Apollo Commercial Real Estate	ARI	29-Jul-2019
12	Applied Industrial Technologies, Inc.	AIT	30-Jul-2019
13	ArcBest Corporation	ARCB	8-Aug-2019
14	Astronics Corp.	ATRO	31-Jul-2019
15	Berkshire Hills Bancorp, Inc.	BHLB	13-Aug-2019
16	Blueprint Medicines Corporation	BPMC	9-Aug-2019
17	BMC Stock Holdings Inc.	BMCH	29-Jul-2019
18	Brunswick Corporation	BC	31-Jul-2019
19	Caretrust REIT Inc.	CTRE	9-Aug-2019
20	Carter's Inc.	CRI	13-Aug-2019
21	Catalent, Inc.	CTLT	8-Aug-2019
22	Central Garden & Pet Company	CENT	12-Aug-2019
23	Chemical Financial Corp.	CHFC	9-Aug-2019
24	Children's Place Inc.	PLCE	12-Aug-2019
25	Cohu, Inc.	COHU	8-Aug-2019
26	Companhia Paranaense De Energia-Copel	ELP	8-Aug-2019
27	CyberOptics Corporation	CYBE	12-Aug-2019
28	Dermira Inc.	DERM	2-Aug-2019
29	Dolby Laboratories, Inc.	DLB	9-Aug-2019
30	Donaldson Company, Inc.	DCI	9-Aug-2019
31	Eaton Corporation	ETN	1-Aug-2019
32	Eclipse Resources Corp.	ECR	12-Aug-2019
33	Encore Capital Group, Inc.	ECPG	29-Jul-2019

34	Enpro Industries, Inc.	NPO	8-Aug-2019
35	Entercom Communications Corp.	ETM	30-Jul-2019
36	Enterprise Products Partners L.P.	EPD	9-Aug-2019
37	Escalade Inc.	ESCA	9-Aug-2019
38	Evertec Inc.	EVTC	12-Aug-2019
39	Express Inc.	EXPR	29-Jul-2019
40	First Industrial Realty Trust Inc.	FR	13-Aug-2019
41	First Merchants Corp.	FRME	29-Jul-2019
42	Fiserv, Inc.	FISV	6-Aug-2019
43	Foreign Trade Bank of Latin America, Inc.	BLX	13-Aug-2019
44	Four Corners Property Trust Inc.	FCPT	29-Jul-2019
45	Franklin Street Properties Corp.	FSP	13-Aug-2019
46	Gamco Investors Inc.	GBL	2-Apr-2019
47	Getty Realty Corp.	GTY	13-Aug-2019
48	Glaukos Corp.	GKOS	30-Jul-2019
49	Goodrich Petroleum Corp.	GDP	8-Aug-2019
50	Guess Inc.	GES	7-Aug-2019
51	H&E Equipment Services Inc.	HEES	7-Aug-2019
52	Hannon Armstrong Sustainable Infrastructure Capital, Inc.	HASI	29-Jul-2019
53	HCP, Inc.	HCP	6-Aug-2019
54	Healthequity Inc.	HQY	6-Aug-2019
55	Heron Therapeutics, Inc.	HRTX	13-Aug-2019
56	Hersha Hospitality Trust	HT	13-Aug-2019
57	HFF, Inc.	HF	13-Aug-2019
58	Hyster-Yale Materials Handling Inc.	HY	1-Aug-2019
59	Innospec Inc.	IOSP	12-Aug-2019
60	Insight Enterprises, Inc.	NSIT	7-Aug-2019
61	Intellia Therapeutics Inc.	NTLA	1-Aug-2019
62	Intra-Cellular Therapies Inc.	ITCI	6-Aug-2019
63	IntriCon Corporation	IIN	7-Aug-2019
64	Jack In The Box Inc.	JACK	12-Aug-2019
65	Ladder Capital Corp.	LADR	13-Aug-2019
66	Legacy Reserves LP	LGCY	13-Aug-2019
67	Lydall Inc.	LDL	6-Aug-2019
68	M&T Bank Corp.	MTB	1-Aug-2019
69	Medicines Company, The	MDCO	5-Aug-2019
70	Meritor Inc.	MTOR	8-Aug-2019
71	MSG Networks Inc.	MSGN	6-Aug-2019
72	Nexstar Broadcasting Group, Inc.	NXST	5-Aug-2019
73	Pacira Pharmaceuticals Inc.	PCRX	7-Aug-2019
74	Patrick Industries Inc.	PATK	1-Aug-2019
75	Penumbra Inc.	PEN	13-Aug-2019
76	PerkinElmer, Inc.	PKI	12-Aug-2019
77	PetMed Express, Inc.	PETS	13-Aug-2019
78	Planet Fitness Inc.	PLNT	31-Jul-2019
79	Plug Power Inc.	PLUG	5-Aug-2019
80	Post Holdings, Inc.	POST	31-Jul-2019
81	Provident Financial Services Inc.	PFS	1-Aug-2019
82	Quaker Chemical Corp.	KWR	5-Aug-2019

83	Quotient Technology Inc.	QUOT	5-Aug-2019
84	S&P Global Inc.	SPGI	1-Aug-2019
85	Schweitzer Mauduit International, Inc.	SWM	31-Jul-2019
86	Scorpio Tankers Inc.	STNG	2-Aug-2019
87	Shake Shack, Inc.	SHAK	29-Jul-2019
88	Smart & Final Stores Inc.	SFS	6-Aug-2019
89	Spire Inc.	SR	6-Aug-2019
90	SPS Commerce, Inc.	SPSC	30-Jul-2019
91	Standex International Corp.	SXI	30-Jul-2019
92	Summit Hotel Properties Inc.	INN	30-Jul-2019
93	Surgery Partners Inc.	SGRY	13-Aug-2019
94	TopBuild Corp.	BLD	29-Jul-2019
95	Travelzoo Inc.	TZOO	1-Aug-2019
96	Union Bankshares Corp.	UBSH	9-Aug-2019
97	Universal Forest Products Inc.	UFPI	1-Aug-2019
98	US Ecology Inc.	ECOL	9-Aug-2019
99	Vanda Pharmaceuticals, Inc.	VNDA	5-Aug-2019
100	Veeco Instruments, Inc.	VECO	1-Aug-2019
101	Visa Inc.	V	2-Aug-2019
102	Vornado Realty Trust	VNO	2-Aug-2019
103	Wabash National Corp.	WNC	30-Jul-2019
104	Williams Companies, Inc.	WMB	6-Aug-2019
105	Wingstop Inc.	WING	29-Jul-2019
106	WSFS Financial Corp.	WSFS	29-Jul-2019

Notes: New SEC investigative activity could theoretically begin or end after the date covered by the latest information in this report, which would not be reflected here. Where applicable, the SEC did not disclose the details on investigations referenced herein. All we know is that they somehow pertain to the conduct, transactions, and/or disclosures of the companies. Companies with undisclosed SEC investigations are maintained on our Watch List of companies with undisclosed SEC investigations. The SEC reminds us that its assertion of the law enforcement exemption should not be construed as an indication by the Commission or its staff that any violations of law have occurred with respect to any person, entity, or security.

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