

Independent Investment Research Focused on Public Company Interactions with the SEC.

No Recent SEC Investigative Records Found – 81 Companies

As of the date of the response in the table below, we received Freedom of Information Act (FOIA) responses from the SEC to suggest the absence of recent SEC investigative activity. If you think any of these companies have SEC investigations, or had them in the recent past, let us know and we'll file an appeal to challenge the adequacy of the search that led to the responses cited here.

#	Company Name	Ticker	Date of Response
1	Air Transport Services Group, Inc.	ATSG	10-Jun-2019
2	Alexander's Inc.	ALX	3-Jun-2019
3	Allegiant Travel Co.	ALGT	10-Jun-2019
4	Allegion Plc.	ALLE	5-Jun-2019
5	Allete, Inc.	ALE	10-Jun-2019
6	Anixter International Inc.	AXE	13-Jun-2019
7	Antero Midstream Partners LP	AM	13-Jun-2019
8	Apogee Enterprises, Inc.	APOG	12-Jun-2019
9	Asbury Automotive Group, Inc.	ABG	13-Jun-2019
10	Astec Industries, Inc.	ASTE	12-Jun-2019
11	Baidu, Inc.	BIDU	7-Jun-2019
12	BancorpSouth, Inc.	BXS	5-Jun-2019
13	Basic Energy Services, Inc.	BAS	3-Jun-2019
14	Biogen Inc.	BIIB	4-Jun-2019
15	Buckeye Partners, L.P.	BPL	12-Jun-2019
16	Cabot Corp.	CBT	13-Jun-2019
17	Capital Senior Living Corp.	CSU	13-Jun-2019
18	Central European Media Enterprises Ltd.	CETV	6-Jun-2019
19	Charles Schwab Corporation, The	SCHW	7-Jun-2019
20	Chart Industries Inc.	GTLS	14-Jun-2019
21	Cincinnati Bell, Inc.	CBB	6-Jun-2019
22	Commerce Bancshares, Inc.	CBSH	12-Jun-2019
23	Core Molding Technologies, Inc.	CMT	10-Jun-2019
24	Core-Mark Holding Company, Inc.	CORE	10-Jun-2019
25	Crown Holdings Inc.	CCK	10-Jun-2019
26	CTS Corporation	CTS	5-Jun-2019
27	Cubic Corporation	CUB	14-Jun-2019
28	Dow, Inc. (fka, Dow Holdings, Inc.)	DOW	12-Jun-2019
29	eHealth, Inc.	EHTH	11-Jun-2019
30	El Paso Electric Co.	EE	11-Jun-2019
31	Euronet Worldwide Inc.	EEFT	11-Jun-2019
32	Farmer Brothers Co.	FARM	5-Jun-2019
33	FARO Technologies, Inc.	FARO	10-Jun-2019

34	Global Payments Inc.	GPN	11-Jun-2019
35	Granite Construction Incorporated	GVA	11-Jun-2019
36	Griffon Corporation	GFF	6-Jun-2019
37	Grupo Televisa, S.A.B.	TV	13-Jun-2019
38	Halliburton Company	HAL	7-Jun-2019
39	Haverty Furniture Companies Inc.	HVT	6-Jun-2019
40	HCA Healthcare, Inc.	HCA	4-Jun-2019
41	Hillenbrand Inc.	HI	10-Jun-2019
42	Hudson Pacific Properties Inc.	HPP	13-Jun-2019
43	Invacare Corporation	IVC	3-Jun-2019
44	J2 Global Inc.	JCOM	5-Jun-2019
45	Marsh & McLennan Companies, Inc.	MMC	2-May-2019
46	Moneygram International Inc.	MGI	3-Jun-2019
47	Orthofix International N.V	OFIX	4-Jun-2019
48	Owens Corning, Inc.	OC	3-Jun-2019
49	Paychex, Inc.	PAYX	12-Jun-2019
50	Photronics Inc.	PLAB	10-Jun-2019
51	Plantronics, Inc.	PLT	10-Jun-2019
52	Quest Diagnostics, Inc.	DGX	12-Jun-2019
53	Radian Group Inc.	RDN	14-Jun-2019
54	RBC Bearings Inc.	ROLL	12-Jun-2019
55	Realty Income Corp.	O	4-Jun-2019
56	Redwood Trust, Inc.	RWT	11-Jun-2019
57	RenaissanceRe Holdings Ltd.	RNR	13-Jun-2019
58	San Juan Basin Royalty Trust	SJT	4-Jun-2019
59	Skechers USA Inc.	SKX	5-Jun-2019
60	Sykes Enterprises, Inc.	SYKE	4-Jun-2019
61	Textron, Inc.	TXT	7-Jun-2019
62	Thermo Fisher Scientific, Inc.	TMO	6-Jun-2019
63	Torchmark Corp	TMK	12-Jun-2019
64	Tractor Supply Co.	TSCO	12-Jun-2019
65	Tyler Technologies, Inc.	TYL	5-Jun-2019
66	UDR, Inc.	UDR	3-Jun-2019
67	United Rentals, Inc.	URI	13-Jun-2019
68	Uniti Group, Inc.	UNIT	13-Jun-2019
69	Universal Display Corp.	OLED	11-Jun-2019
70	Universal Stainless & Alloy Products Inc.	USAP	11-Jun-2019
71	Urban Outfitters Inc.	URBN	13-Jun-2019
72	US Bancorp	USB	14-Jun-2019
73	US Concrete Inc.	USCR	4-Jun-2019
74	Vail Resorts Inc.	MTN	13-Jun-2019
75	Vertex Pharmaceuticals, Inc.	VRTX	3-Jun-2019
76	West Pharmaceutical Services, Inc.	WST	6-Jun-2019
77	WestRock Company	WRK	12-Jun-2019
78	Westwood Holdings Group Inc.	WHG	6-Jun-2019
79	Xinyuan Real Estate Co., Ltd.	XIN	7-Jun-2019
80	Zebra Technologies Corp.	ZBRA	5-Jun-2019
81	Zoetis Inc.	ZTS	3-Jun-2019

Notes: New SEC investigative activity could theoretically begin or end after the date covered by the latest information in this report, which would not be reflected here. Where applicable, the SEC did not disclose the details on investigations referenced herein. All we know is that they somehow pertain to the conduct, transactions, and/or disclosures of the companies. Companies with undisclosed SEC investigations are maintained on our Watch List of companies with undisclosed SEC investigations. The SEC reminds us that its assertion of the law enforcement exemption should not be construed as an indication by the Commission or its staff that any violations of law have occurred with respect to any person, entity, or security.

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